

RECONSTRUCTION OF COMPLEX REFRACTIVE-INDEX DISTRIBUTIONS  
USING MODIFIED NEWTON-KANTOROVICH METHOD

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1. Introduction

For electromagnetic characterization of scatterers, several reconstruction algorithms have been proposed to determine the size, shape, and electromagnetic properties of an object<sup>(1)-(4)</sup>. The conventional diffraction tomography techniques within the first-order Born or Rytov approximation are applicable to weakly diffracting objects<sup>(1)</sup>. Recently, practical methods of image reconstruction have been presented for a wide range of situations where the two approximations break down<sup>(2),(3)</sup>. However, a regularization technique has been utilized in the inverse procedure to circumvent ill-posedness of the problem.

This paper describes a method for reconstructing a lossy dielectric cylinder from the knowledge of an incident field and the resulting scattered far-field. The two-dimensional object is assumed to be located in a lossless and homogeneous dielectric medium. The inverse scattering problem requires us to solve a nonlinear integral equation for an object function  $f$ , which is a function of complex refractive index of the object. Applying the modified Newton-Kantorovich method<sup>(5)</sup> to the integral equation, one can obtain an iterative formula for getting the  $p$ th estimate of  $f$  without employing regularization methods. Computer simulations are performed for a homogeneous circular cylinder and a stratified cylinder consisting of two concentric homogeneous layers. From the simulated results, we can conclude that the present procedure provides high-quality reconstructions even for cases where the first-order Born approximation fails. The results also demonstrate fast convergence properties of the algorithm.

2. Formulation

Consider a lossy dielectric cylinder of refractive index  $n_s(x,y)$  illuminated by a TM-polarized plane wave. Figure 1 illustrates the geometrical configuration of the problem. Note that the object with cross section  $\Omega$  is invariant along the  $z$ -direction and that the surrounding dielectric medium is lossless and homogeneous. The scatterer is characterized by the complex object function

$$f(x, y) = k_0^2 [n_s^2(x, y) - n^2], \quad (1)$$

where  $k_0$  and  $n$  denote the wavenumber of free space and the refractive index of the external medium, respectively. The scattered field data is measured along a semicircle  $C$  ( $\theta - \pi \leq \phi \leq \theta$ ) of very large radius  $r$ .

The inverse scattering problem discussed here is reduced to a nonlinear integral equation for  $f$ <sup>(4)</sup>. The inversion of the integral equation can be derived using the modified Newton-Kantorovich method<sup>(5)</sup>. Then we get

$$L_{f_0}(f_{p+1}(x,y) - f_p(x,y)) = -A f_p(x, y), \quad p=0,1,2, \dots \quad (2)$$

Here  $f_p$  denotes the  $p$ th estimate of  $f$ ,  $A$  indicates a nonlinear integral operator<sup>(4)</sup>, and  $L_{f_0}$  is the Fréchet derivative of  $A$  at an initial guess  $f_0$ . It should be remarked that  $L_{f_0}$  is a linear operator. Substituting the expression for the Fréchet derivative<sup>(6)</sup> into Eq. (2), we can obtain an iterative formula for getting  $f_{p+1}$  from  $f_p$ . Since the formula is an integral equation for  $f_{p+1}$  of the first kind, its solution has the properties of nonuniqueness and numerical instability. If an initial guess  $f_0$  is set to zero, however, we do not require any regularization method to circumvent ill-posedness of the problem. Then the integral equation may be now written as<sup>(4)</sup>

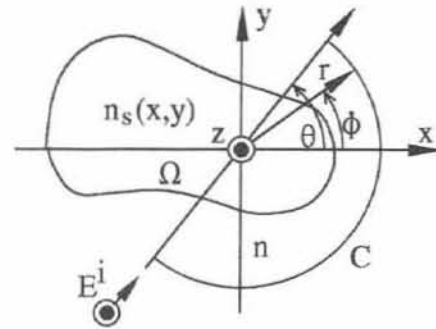


Figure 1. Geometrical configuration of the problem.

$$F_{p+1}(\alpha, \beta) = \int_{\Omega} f_{p+1}(x, y) \exp [j(\alpha x + \beta y)] dx dy$$

$$= W(\theta; \phi) - \int_{\Omega} f_p(x, y) \left[ \frac{E^t(f_p; \theta; x, y)}{E^i(\theta; x, y)} - 1 \right] \exp [j(\alpha x + \beta y)] dx dy, \quad (3)$$

where

$$\alpha = nk_0(\cos\phi - \cos\theta), \quad (4a)$$

$$\beta = nk_0(\sin\phi - \sin\theta), \quad (4b)$$

$$\theta - \pi \leq \phi \leq \theta. \quad (4c)$$

In Eq. (3),  $W(\theta; \phi)$  characterizes the directional pattern of the scattered far-field<sup>(4)</sup>,  $E^i(\theta; x, y)$  is the incident electric field, and  $E^t(f_p; \theta; x, y)$  is a total electric field. Note that the total field can be obtained by solving the direct scattering problem. For numerical calculation of  $E^t(f_p; \theta; x, y)$ , the moment method and the FFT-CG method<sup>(7)</sup> are utilized. Then the execution time and the storage on a computer are remarkably reduced. From Eq. (3), one can obtain  $f_{p+1}$  by performing the inverse Fourier transform of its spectrum  $F_{p+1}$ . As is evident from Eqs. (4a) and (4b),  $F_{p+1}$  is bandlimited within the disk  $D$  of radius  $2nk_0$  with center at the origin when  $\theta$  varies from  $0$  to  $2\pi$  and  $\phi$  from  $\theta - \pi$  to  $\theta$ . Thus  $f_{p+1}$  can be successfully recovered without employing regularization methods.

### 3. Simulated Results and Discussion

For some lossy circular cylinders, computer simulations are made to show the validity of our algorithm. The convergent criterion of the relative error<sup>(4)</sup> is set to  $10^{-8}$ .

Figure 2 illustrates reconstructions of the complex refractive index  $n_s$  on the  $x$  axis for the homogeneous circular cylinder of radius  $1\lambda$  and refractive index  $1.05 - j0.005$ . Here  $\lambda$  is the wavelength in the surrounding medium, which is now assumed to be free space. In Fig. 2,  $\text{Re}$  and  $\text{Im}$  indicate the real part and the imaginary part, respectively. The solid and short-dashed curves, respectively, denote the final convergent solutions after 17 iterations and the results of the first-order Born approximation. The long-and-short dashed curves shown in this figure present the results reconstructed by a low-pass filtered (LPF) version of the true object function<sup>(4)</sup>, i.e., the inverse Fourier transformation of its spectrum whose values outside the disk  $D$  are replaced by zero.

Figure 3 shows reconstructed results of  $n_s$  on the  $x$  axis for the stratified cylinder consisting of two concentric homogeneous layers. Here the radii and the complex refractive indices of the two layers are, respectively,  $1\lambda$ ,  $0.5\lambda$ , and  $1.025 - j0.0025$ ,  $1.05 - j0.005$ . Note that the final convergent solutions

in Fig. 3 are the results from 8th iteration.

Figures 4 and 5 present reconstructions of  $n_s$  on the  $x$  axis. In Figs. 4 and 5, the true values of  $\text{Re}(n_s)$  and the radii are the same as those used in Figs. 2 and 3, respectively. However, the true values of  $\text{Im}(n_s)$  are ten times as large as those used in Figs. 2 and 3. The final convergent solutions in Figs. 4 and 5 are the results after 13 and 9 iterations, respectively.

From Figs. 2-5, it is seen that the results of the first-order Born approximation are highly distorted depending on the losses within the objects. However, the accuracy of the reconstructions based on our algorithm is not influenced by the amount of cylinder loss. Furthermore, the reconstructed images show good agreement with the results obtained from the LPF version of the true object function.

#### 4. Conclusions

An efficient procedure for reconstructing a lossy dielectric cylinder has been presented, which is based on the modified Newton-Kantorovich method. The iterative algorithm does not require the usual regularization techniques. From the simulated results for some circular cylinders, it can be concluded that the reconstructed images do not suffer distortion which depends upon the amount of cylinder loss. In addition, the real and imaginary components of the complex indices of refraction can be successfully reconstructed even for cases where the first-order Born approximation fails. Furthermore, the simulated results demonstrate fast convergence properties of the procedure.

#### References

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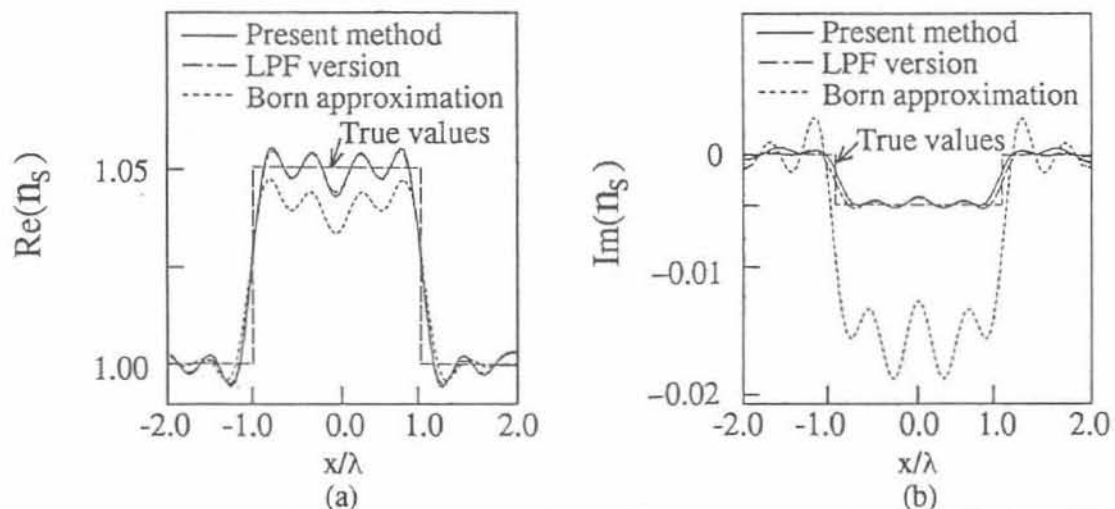


Figure 2. Reconstructions of complex refractive index of homogeneous circular cylinder with small amount of loss.

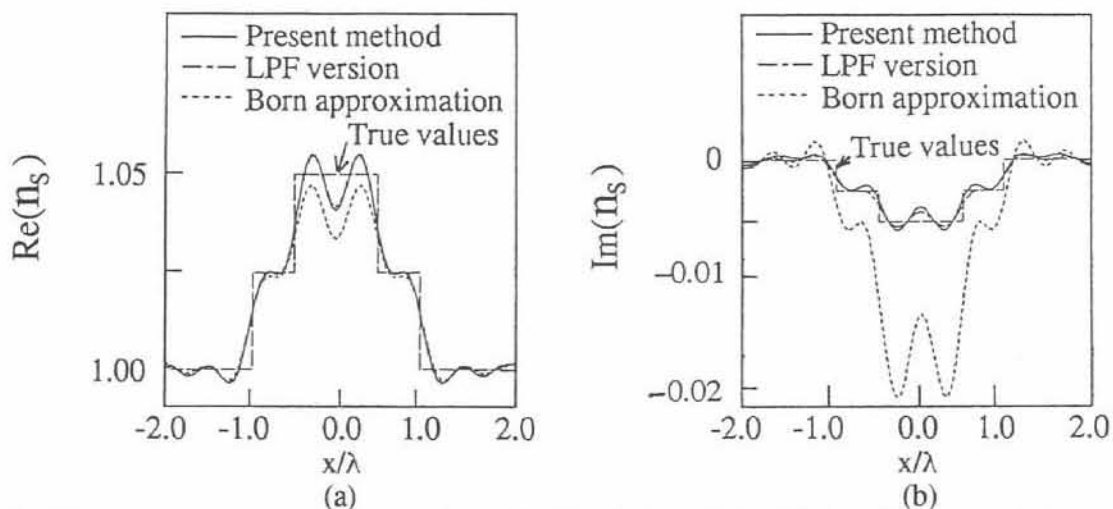


Figure 3. Reconstructed results of complex refractive index of stratified cylinder consisting of two concentric homogeneous layers with small amount of loss.

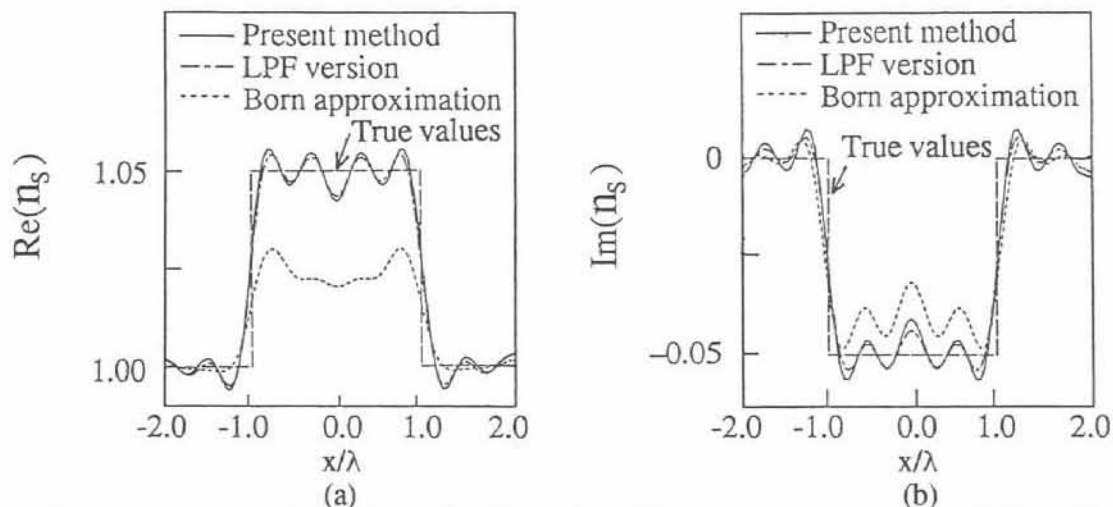


Figure 4. Reconstructions of complex refractive index of homogeneous circular cylinder with large amount of loss.

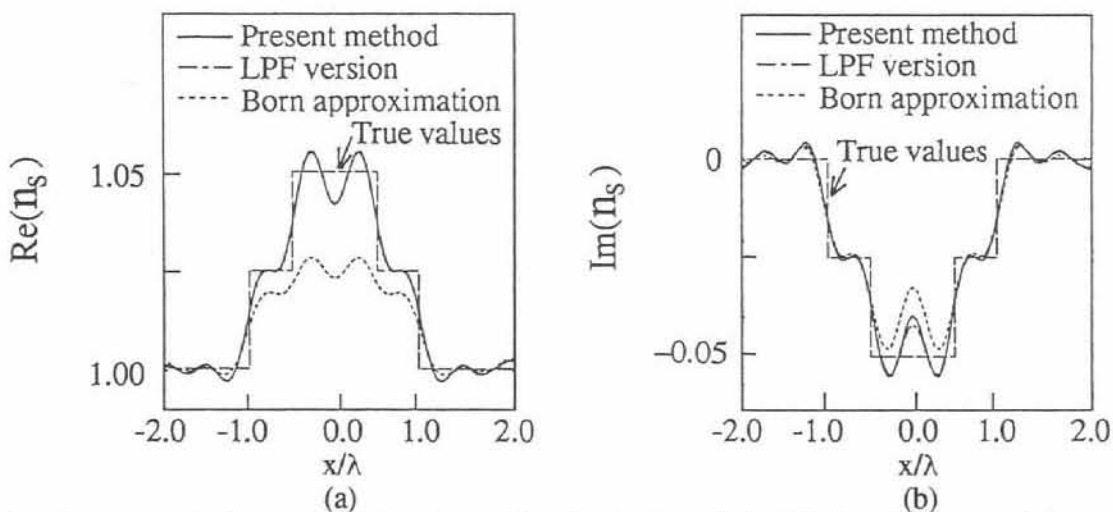


Figure 5. Reconstructed results of complex refractive index of stratified cylinder consisting of two concentric homogeneous layers with large amount of loss.