

# Adaptive Steepest-Descent-Feedback Control of Tunable Dispersion Compensators using A Three-Point Sampling Method in Time-Domain Waveforms

Ken Tanizawa and Akira Hirose

Department of Electronic Engineering, The University of Tokyo,  
7-3-1 Hongo, Bunkyo-Ku, Tokyo 113-8656, Japan, e-mail: tanizawa@eis.t.u-tokyo.ac.jp

## Abstract

We propose a three-point waveform-sampling method for the optimal adaptive control of tunable dispersion compensators in optical dynamic-routing networks. We succeeded in decreasing the residual dispersion drastically in 10 Gb/s transmission simulations.

## 1 Introduction

Adaptive dispersion compensation using a tunable dispersion compensator (TDC) is essential in optical dynamic routing networks and the ultra high speed transmission over 160 Gb/s per channel. We have proposed and demonstrated a high speed, low cost adaptive TDC control method [1]. The method is based on a feedback control in which the peak eye-opening value is used as a feedback signal and is maximized by the steepest descent method.

We achieved a high speed control by the proposed algorithm based on the steepest descent method. Also, the implementation cost of the method is lower than the dispersion measurement method [2] as we do not need costly measurement instruments.

However, we face the problem of residual dispersion in the previous method; the dispersion is not perfectly compensated for after the maximization of the peak eye-opening value. The reason is that only the peak eye-opening value is used as the feedback signal.

In this paper, we report a new control algorithm based on three-point sampling in time-domain waveforms. We conducted 10 Gb/s transmission simulations and succeeded decreasing the residual dispersion adequately.

## 2 Adaptive control of TDC using three-point sampling method

Fig.1 shows the optical dynamic routing networks with our proposed steepest-descent-feedback control method. The control algorithm is divided into three steps.

The first step, (i) is a calculation of error value,  $Er$ , which is the difference between the received and the reference waveforms. The reference waveform which is a received waveform unaffected by the dispersion is measured and registered before transmission. The detailed definition of the  $Er$  is shown in Fig.2. In the previous method, we obtained the  $Er$  as the difference of the peak values between the received and reference waveforms as shown in Fig.2 (a). Fig.2 (b) shows the three-point sampling method proposed in this paper. The  $Er$  is calculated as the summation of the difference of three points between the received and reference waveforms.

$$Er = \frac{1}{2} \sum_{n=-1}^1 (R_n - P_n)^2 \quad (1)$$

The new definition of  $Er$  is effective in decreasing the

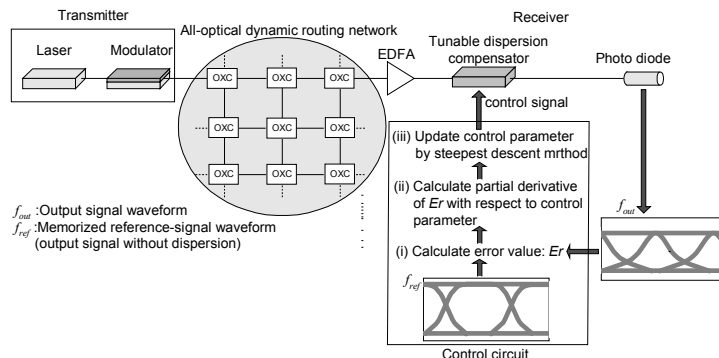


Fig.1 Schematic diagram of optical dynamic-routing networks with proposed adaptive control method

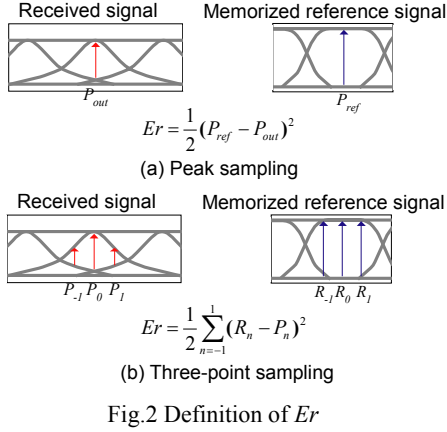


Fig.2 Definition of  $E_r$

residual dispersion as it represents the effect of the dispersion on the waveform accurately.

Step (ii) is a calculation of the partial derivative of  $E_r$  for the steepest descent approach. In the following simulations, we adapted virtually imaged phased array (VIPA) [3] as a TDC. The partial derivative with respect to the VIPA's control parameter,  $S$  ps/nm, is approximated as

$$\frac{\partial E_r}{\partial S} = \sum_{n=1}^3 (P_n - R_n) \frac{\partial P_n}{\partial S} \quad (2)$$

$$\frac{\partial P_n}{\partial S} = \pm \frac{P_0^2}{T_{FWHM}^2} \sqrt{1 - P_0^2} \left( \frac{n^2 P_0^2}{8} - 1 \right) \exp\left( -\frac{n^2 P_0^2}{16} \right) \cdot \frac{\lambda^2}{2\pi c} \quad (3)$$

where  $T_{FWHM}$  is the full width at half maximum of the transmitted signal,  $\lambda$  is the center wavelength and  $c$  is the speed of light.

The final step (iii) is an update of  $S$  by the steepest descent method.

$$S \Rightarrow S - \varepsilon \frac{\partial E_r}{\partial S} \quad (4)$$

where  $\varepsilon$  is an appropriate constant. We repeat these steps until the  $E_r$  becomes small enough.

### 3 Transmission simulations at 10Gb/s

We conducted transmission simulations by OptiSystem [4] to confirm the effectiveness of the proposed method. Fig.3 shows the simulation model. The transmission speed was set at 10 Gb/s and the modulation format was nonreturn-to-zero. The transmission fiber was SMF and the dispersion was 18 ps/nm/km. We measured the BERs and eye-diagrams when the transmission route changed from Route1 (where the dispersion had been compensated for perfectly;  $S = -2700$  ps/nm) to Route2.

Fig.4 shows the eye-diagrams after the compensation. The eye-opening after the compensation by using the

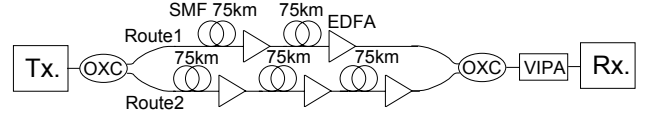


Fig.3 Simulation model

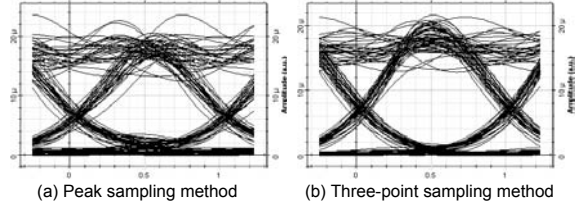


Fig.4 Eye-diagrams after compensation

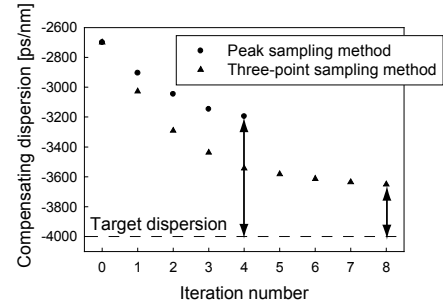


Fig.5 Compensating dispersion

three-point sampling method is wider than the one obtained using the peak sampling method. The BER after compensation was  $3.7 \times 10^{-10}$  for the peak sampling method and  $< 10^{-12}$  for the three-point sampling method respectively. Fig.5 shows the compensating dispersion for every update of the VIPA. The residual dispersion after the compensation by the three-point sampling method is about half of the residual dispersion when we use the peak sampling method.

### 4 Conclusion

We have reported an optimal feedback control method of the TDC in this paper. This method is based on the steepest descent method and the three-point sampling in time-domain waveform. Using this method, we can implement high speed and low cost optimal adaptive dispersion compensation.

### 5 References

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