

# Verifying a Parameterized Border Array in $O(n^{1.5})$ Time

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**Abstract:** The parameterized pattern matching problem is to check if there exists a renaming bijection on the alphabet with which a given pattern can be transformed into a substring of a given text. A *parameterized border array* (*p-border array*) is a parameterized version of a standard border array, and we can efficiently solve the parameterized pattern matching problem using p-border arrays. In this paper we present an  $O(n^{1.5})$ -time  $O(n)$ -space algorithm to verify if a given integer array of length  $n$  is a valid p-border array for an unbounded alphabet. The best previously known solution takes time proportional to the  $n$ -th Bell number  $\frac{1}{e} \sum_{k=0}^{\infty} \frac{k^n}{k!}$ , and hence our algorithm is quite efficient.

## 1 Introduction

The *parameterized matching* (*p-matching*) problem [3] is a kind of string matching problem, where a pattern is considered to occur in a text when there exists a renaming bijection on the alphabet with which the pattern can be transformed into a substring of the text. Parameterized matching has applications in e.g. software maintenance, plagiarism detection, and RNA structural matching, thus it has extensively been studied (e.g., see [1, 16, 12, 2, 13]).

In this paper we focus on *parameterized border arrays* (*p-border arrays*) [15], which are a parameterized version of border arrays [18]. Let  $\Pi$  be the alphabet. The p-border array of a given pattern  $p$  of length  $m$  can be computed in  $O(m \log |\Pi|)$  time, and the p-matching problem can be solved in  $O(n \log |\Pi|)$  time for any text p-string of length  $n$ , using the p-border array [15].

This paper deals with the *reverse engineering problem on p-border arrays*, namely, the problem of verifying if a given integer array of length  $n$  is a p-border array of some string. We propose an  $O(n^{1.5})$ -time  $O(n)$ -space algorithm to solve this problem for an unbounded alphabet. We emphasize that the best previously known solution to this problem takes time proportional to the  $n$ -th Bell number  $\frac{1}{e} \sum_{k=0}^{\infty} \frac{k^n}{k!}$ , and hence our algorithm is quite efficient.

**Related Work:** There exists a linear time algorithm to solve the reverse problem on p-border arrays for a binary alphabet [14]. An  $O(p_n)$ -time algorithm to enumerate all p-border arrays of length up to  $n$  on a binary alphabet was also presented in [14], where  $p_n$  denotes the number of p-border arrays of length at most  $n$  for a binary alphabet.

In [10], a linear time algorithm to verify if a given integer array is the (standard) border array [18] of some string was presented. Their algorithm works for both bounded and unbounded alphabets. A simpler linear-time solution for the same problem for a bounded alphabet was shown in [8]. An algorithm to enumerate all border arrays of length at most  $n$  in  $O(b_n)$ -time was given in [10], where  $b_n$  is the number of border arrays of length at most  $n$ .

The reverse engineering problems, as well as the enumeration problems for other string data structures (suffix arrays, DAWG, etc.) have been extensively studied [9, 4, 19, 5, 7, 11, 6], whose solutions give us further insight concerning the data structures.

## 2 Preliminaries

Let  $\Sigma$  and  $\Pi$  be two disjoint finite alphabets. An element of  $(\Sigma \cup \Pi)^*$  is called a *p-string*. The length of any p-string  $s$  is the total number of constant and parameter symbols in  $s$  and is denoted by  $|s|$ . The string of length 0 is called the empty string and is denoted by  $\varepsilon$ . For any p-string  $s$  of length  $n$ , the  $i$ -th symbol is denoted by  $s[i]$  for each  $1 \leq i \leq n$ , and the *substring* starting at position  $i$  and ending at position  $j$  is denoted by  $s[i : j]$  for  $1 \leq i \leq j \leq n$ .

Any two p-strings  $s, t \in (\Sigma \cup \Pi)^*$  of length  $m$  are said to *parameterized match* (*p-match*) if  $s$  can be transformed into  $t$  by a renaming function  $f$  from the symbols of  $s$  to the symbols of  $t$ , where  $f$  is the identity on  $\Sigma$ . The p-matching problem on  $\Sigma \cup \Pi$  is reducible in linear time to the p-matching problem on  $\Pi$  [1]. Thus we will only consider p-strings over  $\Pi$ .

Let  $\mathcal{N}$  be the set of non-negative integers. Let  $pv : \Pi^* \rightarrow \mathcal{N}^*$  be the function s.t. for any p-string  $s$  of length  $n > 0$ ,  $pv(s) = u$  where, for  $1 \leq i \leq n$ ,  $u[i] = 0$  if  $s[i] \neq s[j]$  for any  $1 \leq j < i$ , and  $u[i] = i - k$  if  $k = \max\{j \mid s[i] = s[j], 1 \leq j < i\}$ . Let  $pv(\varepsilon) = \varepsilon$ . Two p-strings  $s$  and  $t$  of the same length  $m$  p-match iff  $pv(s) = pv(t)$ . For any  $p \in \mathcal{N}^*$ , let  $zeros(p)$  denotes the number of 0's in  $p$ , that is,  $zeros(p) = |\{i \mid p[i] = 0, 1 \leq i \leq |p|\}|$ . For any  $s \in \Pi$ ,  $zeros(pv(s))$  equals the number of different characters in  $s$ . For example, **aabb** and **bbaa** p-match since  $pv(\mathbf{aabb}) = pv(\mathbf{bbaa}) = 0\ 1\ 0\ 1$ . Note  $zeros(pv(\mathbf{aabb})) = zeros(pv(\mathbf{bbaa})) = 2$ .

A *parameterized border* (*p-border*) of a p-string  $s$  of length  $n$  is any integer  $j$  s.t.  $0 \leq j < n$  and  $pv(s[1:j]) = pv(s[n-j+1:n])$ . For example, the set of p-borders of p-string **aabb** is  $\{2, 1, 0\}$  since  $pv(\mathbf{aa}) = pv(\mathbf{bb}) = 0\ 1$ ,  $pv(\mathbf{a}) = pv(\mathbf{b}) = 0$ , and  $pv(\varepsilon) = pv(\varepsilon) = \varepsilon$ . We also say that  $b$  is a p-border of  $p \in \mathcal{N}^*$  if  $b$  is a p-border of some p-string  $s \in \Pi^*$  and  $p = pv(s)$ . The *parameterized border array* (*p-border array*)  $\beta_s$  of a p-string  $s$  of length  $n$  is an array of length  $n$  such that  $\beta_s[i] = j$ , where  $j$  is the longest p-border of  $s[1:i]$ . For example, for p-string  $s = \mathbf{aabbaa}$ ,  $\beta_s = [0, 1, 1, 2, 3, 4]$ . When it is clear from the context, we abbreviate  $\beta_s$  as  $\beta$ . Let  $P = \{pv(s) \mid s \in \Pi^*\}$  and  $P_\beta = \{p \in P \mid \beta[i] \text{ is the longest p-border of } p[1:i], 1 \leq i \leq |\beta|\}$ .

For any  $i, j \in \mathcal{N}$ , let  $cut(i, j) = 0$  if  $i \geq j$ , and  $cut(i, j) = i$  otherwise. For any  $p \in P$  and  $1 \leq j \leq |p|$ , let  $suf(p, j) = cut(p[|p| - j + 1], 1)cut(p[|p| - j + 2], 2) \cdots cut(p[|p|], j)$ . Let  $suf(p, 0) = \varepsilon$ . For example, if  $p[1:10] = 0\ 0\ 2\ 0\ 3\ 1\ 3\ 2\ 6\ 3$ ,  $suf(p, 5) = cut(p[6], 1)cut(p[7], 2)cut(p[8], 3)cut(p[9], 4)cut(p[10], 5) = 0\ 0\ 2\ 0\ 3$ . Then, for any p-string  $s \in \Pi^*$  and  $1 \leq j \leq |s|$ ,  $suf(pv(s), j) = pv(s[|s| - j + 1 : |s|])$ . Hence,  $j$  is a p-border of  $pv(s)$  iff  $suf(pv(s), j) = pv(s)[1:j]$  for some  $1 \leq j < |s|$ .

This paper deals with the following problem.

**Problem 1** (Verifying a valid p-border array). *Given an integer array  $y$  of length  $n$ , determine if there exists a p-string  $s$  such that  $\beta_s = y$ .*

To solve Problem 1, we can use the algorithm of Moore et al. [17] to generate all strings in  $P^n = \{p \mid p \in P, |p| = n\}$  in  $O(|P^n|)$  time, and then we check if  $p \in P_y$  for each generated  $p \in P^n$ . Still, it is known that  $|P^n|$  is equal to the  $n$ -th Bell number  $\frac{1}{e} \sum_{k=0}^{\infty} \frac{k^n}{k!}$ .

As a much more efficient solution, we present our  $O(n^{1.5})$ -time algorithm in the sequel.

### 3 Properties on Parameterized Border Arrays

Here we introduce important properties of p-border arrays that are useful to solve Problem 1.

For any integer array  $\ell$ , let  $|\ell|$  denote the length of the integer array  $\ell$ . Let  $\ell[i:j]$  denote a subarray of  $\ell$  for any  $1 \leq i \leq j \leq |\ell|$ . Let  $\Gamma = \{\gamma \mid \gamma[1] = 0, 1 \leq \gamma[i] \leq \gamma[i-1] + 1, 1 < i \leq |\gamma|\}$ . For any  $\gamma \in \Gamma$  and any  $i \geq 1$ , let  $\gamma^k[i] = \gamma[i]$  if  $k = 1$ , and  $\gamma[\gamma^{k-1}[i]]$  if  $k > 1$  and  $\gamma^{k-1}[i] \geq 1$ . By the definition of  $\Gamma$ , the sequence  $i, \gamma^1[i], \gamma^2[i], \dots$  is monotonically decreasing and terminates with  $1, 0$ . Let  $A = \{\alpha \mid \alpha \in \Gamma, \alpha[i] \in \{\alpha^1[i-1] + 1, \alpha^2[i-1] + 1, \dots, 1\}, 1 < i \leq |\alpha|\}$ . It is clear that  $A \subset \Gamma$ . Let  $B$  denote the set of all p-border arrays.

**Lemma 1.**  $B \subseteq \Gamma$ .

*Proof.* By definition, it is clear that  $\beta[1] = 0$  and  $1 \leq \beta[i]$  for any  $1 < i \leq |\beta|$ . For any  $p \in P_\beta$  and  $i$ , since  $suf(p[1:i], \beta[i]) = p[1:\beta[i]]$ ,  $suf(p[1:i-1], \beta[i]-1) = p[1:\beta[i]-1]$ . Thus  $\beta[i-1] \geq \beta[i]-1$ , and therefore  $\beta[i] \leq \beta[i-1] + 1$ .  $\square$

**Lemma 2.** For any  $\beta \in B$ ,  $p \in P_\beta$ , and  $1 \leq i \leq |p|$ ,  $\{\beta^1[i], \beta^2[i], \dots, 0\}$  is the set of p-borders of  $p[1:i]$ .

**Lemma 3.** For any  $\beta \in B$ ,  $p \in P_\beta$ , and  $1 \leq i \leq |p|$ , if  $p[i] = 0$ , then  $p[b] = 0$  for any  $b \in \{\beta^1[i], \beta^2[i], \dots, 1\}$ .

**Lemma 4.**  $B \subseteq A$ .

*Proof.* For any  $\beta \in B, p \in P_\beta$  and  $1 < i \leq |p|$ , since  $suf(p[1:i], \beta[i]) = p[1:\beta[i]]$ ,  $suf(p[1:i-1], \beta[i]-1) = p[1:\beta[i]-1]$ . Since  $\beta[i]-1$  is a p-border of  $p[1:i-1]$ ,  $\beta[i]-1 \in \{\beta^1[i-1], \beta^2[i-1], \dots, 0\}$  by Lemma 2. Hence,  $\beta[i] \in \{\beta^1[i-1] + 1, \beta^2[i-1] + 1, \dots, 1\}$ .  $\square$

**Definition 1** (Conflict Points). Let  $\alpha \in A$ . For any  $c', c$  ( $1 < c' < c \leq |\alpha|$ ), if  $\alpha[c'] = \alpha[c]$  and  $c' - 1 = \alpha^k[c - 1]$  with some  $k$ , then  $c'$  and  $c$  are said to be in conflict with each other. Such points are called conflict points.

Let  $C_\alpha$  be the set of conflict points in  $\alpha$  and  $C_\alpha(c)$  be the set of points that conflict with  $c$  ( $1 \leq c \leq |\alpha|$ ). For any  $i \leq j \in \mathcal{N}$ , let  $[i, j] = \{i, i+1, \dots, j\} \subset \mathcal{N}$ . We denote  $C_\alpha^{[i,j]} = C_\alpha \cap [i, j]$  and  $C_\alpha^{[i,j]}(c) = C_\alpha(c) \cap [i, j]$  to restrict the elements of the sets within the range  $[i, j]$ .

By Definition 1,  $C_\alpha^{[1,c]}(c) = \{c'\} \cup C_\alpha^{[1,c']}(c')$  where  $c' = \max C_\alpha^{[1,c]}(c)$ . Consider a tree such that  $C_\alpha \cup \{\perp\}$  is the set of nodes where  $\perp$  is the root, and  $\{(c', c) \mid c \in C_\alpha, c' = \max C_\alpha^{[1,c]}(c)\} \cup \{(\perp, c) \mid c \in C_\alpha, C_\alpha^{[1,c]}(c) = \emptyset\}$

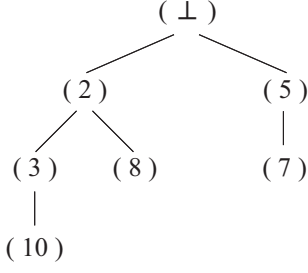


Fig. 1: The conflict tree of  $\alpha = [0, 1, 1, 2, 3, 4, 3, 1, 2, 1]$ .

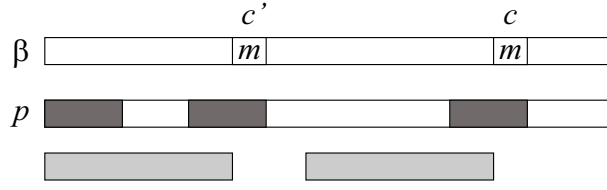


Fig. 2: Let  $c, c' \in C_\beta$  and  $\beta[c'] = \beta[c] = m$ . Then,  $c' \in C_\beta(c)$ ,  $p[1 : m] = \text{suf}(p[1 : c'], m) = \text{suf}(p[1 : c], m)$ , and  $p[1 : c' - 1] = \text{suf}(p[1 : c - 1], c' - 1)$ .

the set of edges. This tree is called the *conflict tree* of  $\alpha$  and it represents the relations of conflict points of  $\alpha$ . Let  $CT_\alpha(c)$  denote the set of children of node  $c$  and  $CT_\alpha^{[i,j]}(c) = CT_\alpha(c) \cap [i, j]$ . We define  $\text{order}_\alpha(c)$  to be the depth of node  $c$  and  $\text{maxc}_\alpha(c) = \max\{\text{order}_\alpha(c') \mid c' \in \{c\} \cup C_\alpha(c)\}$ .

Fig. 1 illustrates the conflict tree for  $\alpha = [0, 1, 1, 2, 3, 4, 3, 1, 2, 1]$ . Here  $C_\alpha = \{2, 3, 5, 7, 8, 10\}$ ,  $C_\alpha(3) = \{2, 10\}$ ,  $CT_\alpha(2) = \{3, 8\}$ ,  $\text{order}_\alpha(2) = \text{order}_\alpha(5) = 1$ ,  $\text{order}_\alpha(3) = \text{order}_\alpha(7) = \text{order}_\alpha(8) = 2$ ,  $\text{order}_\alpha(10) = 3$ ,  $\text{maxc}_\alpha(5) = \text{maxc}_\alpha(7) = \text{maxc}_\alpha(8) = 2$ ,  $\text{maxc}_\alpha(2) = \text{maxc}_\alpha(3) = \text{maxc}_\alpha(10) = 3$ , and so on.

Lemma 5 will be used to show the  $O(n^{1.5})$  time complexity of our algorithm of Section 4.

**Lemma 5.** For any  $\alpha[1 : n] \in \mathbf{A}$ ,  $n \geq 1 + \sum_{c \in C_\alpha} [2^{\text{order}_\alpha(c) - 2}]$ .

*Proof.* Let  $c_t \in C_\alpha$  with  $t \geq 2$ ,  $C_\alpha^{[1:c_t]}(c_t) = \{c_1, c_2, \dots, c_{t-1}\}$  with  $c_1 < c_2 < \dots < c_t$ . Let  $m = \alpha[c_1] = \alpha[c_2] = \dots = \alpha[c_t]$ . By the definition of  $\Gamma$ , for any  $1 < i \leq n$ ,  $\alpha[i] \leq \alpha[i-1] + 1$ . Then, it follows from  $(c_t - 1) - c_{t-1} \geq \alpha[c_t - 1] - \alpha[c_{t-1}]$  that  $m + (c_t - 1) - c_{t-1} \geq \alpha[c_t - 1]$ . Consequently, by Definition 1, we have  $c_t \geq 2c_{t-1} - m$  from  $\alpha[c_t - 1] \geq c_{t-1} - 1$ . Hence,  $c_t \geq 2c_{t-1} - m \geq 2^2c_{t-2} - m(1+2) \geq \dots \geq 2^{t-1}c_1 - m \sum_{i=0}^{t-2} 2^i = 2^{t-1}c_1 - m(2^{t-1} - 1) = 2^{t-1}(c_1 - m) + m \geq 2^{t-1} + m$ . It leads to  $\alpha[c_t] - (\alpha[c_t - 1] + 1) \leq m - c_{t-1} \leq -2^{t-2}$ . Since  $\alpha[i] = 0$  and  $1 \leq \alpha[i] \leq \alpha[i-1] + 1$  for any  $1 < i \leq n$ ,  $n - 1$  should be greater than the value subtracted over all conflict points. Therefore, the statement holds.  $\square$

The relation between conflict points of  $\beta \in \mathbf{B}$  and  $p \in \mathbf{P}_\beta$  is illustrated in Fig. 2.

Lemma 6 shows a necessary-and-sufficient condition for  $\beta[1 : i]m$  to be a valid p-border array of some  $p[1 : i+1] \in \mathcal{N}^*$ , when  $\beta[1 : i]$  is a valid p-border array.

**Lemma 6.** Let  $\beta[1 : i] \in \mathbf{B}$ ,  $m \in \mathcal{N}$ , and  $p[1 : i+1] \in \mathcal{N}^*$ . Then,  $\beta[1 : i]m \in \mathbf{B}$  and  $p[1 : i+1] \in \mathbf{P}_{\beta[1:i]m}$  if and only if

$$\begin{aligned} & p[1 : i+1] \in \mathbf{P} \wedge p[1 : i] \in \mathbf{P}_{\beta[1:i]} \wedge \exists k, \beta^k[i] = m - 1 \wedge \text{cut}(p[i+1], m) = p[m] \\ \wedge & (C_{\beta[1:i]m}(i+1) \neq \emptyset \Rightarrow (p[m] = 0 \wedge \forall c \in C_{\beta[1:i]m}(i+1), p[i+1] \neq p[c] \\ & \wedge (\exists c' \in C_{\beta[1:i]m}(i+1), p[c'] = 0 \Rightarrow m \leq p[i+1] < c')))). \end{aligned}$$

Lemma 7 shows a yet stronger result, a necessary-and-sufficient condition for  $\beta[1 : i]m$  to be a valid p-border array of length  $i+1$ , when  $\beta[1 : i]$  is a valid p-border array of length  $i$ .

**Lemma 7.** Let  $\beta[1 : i] \in \mathbf{B}$  and  $m \in \mathcal{N}$ . Then,  $\beta[1 : i]m \in \mathbf{B}$  if and only if

$$\begin{aligned} & \exists k, \beta^k[i] = m - 1 \wedge (C_{\beta[1:i]m}(i+1) \neq \emptyset \Rightarrow (\exists p[1 : i] \in \mathbf{P}_{\beta[1:i]} \text{ s.t. } p[m] = 0 \\ & \wedge (\exists c' \in C_{\beta[1:i]m}(i+1), p[c'] = 0 \Rightarrow \text{zeros}(p[m : c' - 1]) \geq |C_{\beta[1:i]m}(i+1)|))). \end{aligned}$$

Proofs of Lemmas 6 and 7 will be shown in a full version of this paper.

In the next section we design our algorithm to solve Problem 1 based on Lemmas 6 and 7.

## 4 Algorithm

This section presents our  $O(n^{1.5})$ -time  $O(n)$ -space algorithm to verify if a given integer array of length  $n$  is a valid p-border array for an unbounded alphabet.

## 4.1 Z-pattern Representation

Lemma 7 implies that, in order to check if  $\beta[1 : i]m \in B$ , it suffices for us to know if  $p[i]$  is zero or non-zero for each  $i$ . Let  $\star$  be a special symbol s.t.  $\star \neq 0$ . For any  $p \in P$  and  $1 \leq i \leq |p|$ , let  $ptoz(p)[i] = 0$  if  $p[i] = 0$ , and  $ptoz(p)[i] = \star$  otherwise. The sequence  $ptoz(p) \in \{0, \star\}^*$  is called the *z-pattern* of  $p$ . For any  $\beta \in B$ , let  $Z_\beta = \{ptoz(p) \mid p \in P_\beta\}$ .

The next lemma follows from Lemmas 3, 6, and 7.

**Lemma 8.** *Let  $\beta \in B$  and  $z \in \{0, \star\}^*$ . Then,  $z \in Z_\beta$  if and only if all of the following conditions hold for any  $1 \leq i \leq |z|$ : (1)  $i = 1 \Rightarrow z[i] = 0$ . (2)  $z[\beta[i]] = \star \Rightarrow z[i] = \star$ . (3)  $\exists c \in C_\beta, \exists k, i = \beta^k[c] \Rightarrow z[i] = 0$ . (4)  $\exists c \in C_\beta(i), z[c] = 0 \Rightarrow z[i] = \star$ . (5)  $i \in C_\beta \wedge \text{zeros}(z[\beta[i] : i - 1]) < \text{max}c_\beta(i) - 1 \Rightarrow z[i] = \star$ . (6)  $i \in C_\beta \wedge \text{zeros}(z[\beta[i] : i - 1]) = \text{order}_\beta(i) - 1 \Rightarrow z[i] = 0$ .*

Let  $E_\beta = \{i \mid \exists c \in C_\beta, \exists k, i = \beta^k[c]\}$ . For any  $z \in Z_\beta$  and  $i \in E_\beta$ ,  $z[i]$  is always 0.

We check if a given integer array  $y[1 : n]$  is a valid p-border array in two steps. **Step 1:** While scanning  $y[1 : n]$  from left to right, check whether  $y[1 : n] \in A$  and whether each position  $i$  ( $1 \leq i \leq n$ ) of  $y$  satisfies Conditions 3 and 4 of Lemma 8. Also, we compute  $E_y$ , and  $\text{order}_y(i)$  and  $\text{max}c_y(i)$  for each  $i \in C_y$ . **Step 2:** For each  $i = 1, 2, \dots, n$ , we determine the value of  $z[i]$  so that the conditions of Lemma 8 hold.

If we can determine  $z[i]$  for all  $i = 1, 2, \dots, n$  in Step 2, then the input array  $y$  is a p-border array of some  $p \in P$  such that  $ptoz(p) = z$ .

## 4.2 Pruning Techniques

Given an integer array  $y$  of length  $n$ , we inherently have to search  $\{0, \star\}^n$  for a z-pattern  $z \in Z_y$ . To achieve an efficient solution, we utilize the following pruning lemmas.

For any  $\beta \in B$  and  $1 \leq i \leq |\beta|$ , we write as  $u[1 : i] \in Z_\beta^i$  if and only if  $u[1 : i] \in \{0, \star\}^*$  satisfies all the conditions of Lemma 8 for any  $j$  ( $1 \leq j \leq i$ ). For any  $h > i$ , let  $z[h] = 0$  if  $h \in E_\beta$ , and leave it undefined otherwise. Clearly, for any  $z \in Z_\beta$  and  $1 \leq i \leq |\beta|$ ,  $z[1 : i] \in Z_\beta^i$ .

We can use the contraposition of the next lemma for pruning the search tree at each non-conflict point of  $y$ .

**Lemma 9.** *Let  $\beta \in B$  and  $i \notin C_\beta$  ( $2 \leq i \leq |\beta|$ ). For any  $u[1 : i - 1] \in Z_\beta^{i-1}$ , if  $u[\beta[i]] = 0$  and there exists  $z \in Z_\beta$  s.t.  $z[1 : i] = u[1 : i - 1]\star$ , then there exists  $z' \in Z_\beta$  s.t.  $z'[1 : i] = u[1 : i - 1]0$ .*

*Proof.* For any  $1 \leq j \leq |\beta|$ , let  $v[j] = 0$  if  $j = i$ , and  $v[j] = z[j]$  otherwise. Now we show  $v \in Z_\beta$ .  $v[i]$  clearly holds all the conditions of Lemma 8. Since  $v[j] = z[j]$  at any other points,  $v[j]$  satisfies Conditions 1, 2, 3 and 4. Furthermore, for any  $c \in C_\beta$ ,  $v[c]$  holds Conditions 5 and 6, since  $\text{zeros}(v[\beta[c] : c - 1]) \geq \text{zeros}(z[\beta[c] : c - 1])$  and  $z[c]$  holds those conditions.  $\square$

Next, we discuss our pruning technique regarding conflict points of  $y$ . Let  $\beta \in B$ .  $c \in C_\beta$  is said to be an *active conflict point* of  $\beta$ , iff  $E_\beta \cap (\{c\} \cup C_\beta(c)) = \emptyset$ . Obviously, for any  $z \in Z_\beta$  and  $c \in C_\beta$ ,  $z[c] = 0$  if  $E_\beta \cap \{c\} \neq \emptyset$  and  $z[c] = \star$  if  $E_\beta \cap C_\beta(c) \neq \emptyset$ . Hence we never branch out at any inactive conflict point during the search for  $z \in Z_\beta$ . Let  $AC_\beta$  be the set of active conflict points in  $\beta$ . Our pruning method for active conflict points is described in Lemma 10.

**Lemma 10.** *Let  $\beta \in B, i \in AC_\beta$  and  $i \leq r \leq |\beta|$  with  $|CT_\beta^{[1,r]}(i)| < 2$ . For any  $u[1 : i - 1] \in Z_\beta^{i-1}$ , if  $u[1 : i - 1]0 \in Z_\beta^i$  and there exists  $z[1 : r] \in Z_\beta^r$  s.t.  $z[1 : i] = u[1 : i - 1]\star$ , then there exists  $z'[1 : r] \in Z_\beta^r$  s.t.  $z'[1 : i] = u[1 : i - 1]0$ .*

In order to prove Lemma 10, particularly to ensure Conditions 5 and 6 of Lemma 8 hold, we will estimate the number of 0's within the range  $[\beta[c], c - 1]$  for each  $c \in C_\beta$  that is obtained when the prefix of a z-pattern is  $u[1 : i - 1]0$ . Here, for any  $\alpha \in A$  and  $1 \leq b \leq |\alpha|$ , let  $F_\alpha(b) = \{b\} \cup \{b' \mid \exists k, b = \alpha^k[b']\}$  and  $F_\alpha^{[i,j]}(b) = F_\alpha(b) \cap [i, j]$ . Then, the number of 0's related to  $i$  within the range  $[\beta[c], c - 1]$  can be estimated by  $|F_\beta^{[\beta[c], c-1]}(i)|$ . The following lemmas show some properties of  $F_\alpha(b)$  that are useful to prove Lemma 10 above.

**Lemma 11.** *Let  $\alpha \in A$ . For any  $1 \leq b \leq |\alpha|$  and  $1 < i < |\alpha|$ ,*

$$|F_\alpha^{[\alpha[i+1], i]}(b)| - |F_\alpha^{[\alpha[i], i-1]}(b)| - \sum_{k=1}^{k'-1} |F_\alpha^{[\alpha^{k+1}[i], \alpha^k[i-1]]}(b)| = \begin{cases} 1 & \text{if } i \in F_\alpha(b) \text{ and } \alpha^{k'}[i] \notin F_\alpha(b), \\ 0 & \text{otherwise,} \end{cases}$$

where  $k'$  is the integer such that  $\alpha^{k'}[i] = \alpha[i + 1] - 1$ .

*Proof.* Since  $[\alpha[i+1]-1, i-1] = [\alpha^{k'}[i], \alpha^{k'-1}[i]-1] \cup [\alpha^{k'-1}[i], \alpha^{k'-2}[i]-1] \cup \dots \cup [\alpha^1[i], i-1]$ ,  $|F_\alpha^{\alpha[i+1]-1, i-1}(b)| = |F_\alpha^{\alpha[i], i-1}(b)| + \sum_{k=1}^{k'-1} |F_\alpha^{\alpha^{k+1}[i], \alpha^k[i]-1}(b)|$ . Then, the key is whether each of  $i$  and  $\alpha[i+1]-1$  is in  $F_\alpha(b)$  or not. Obviously, if  $\alpha^{k'}[i] = \alpha[i+1]-1 \in F_\alpha(b)$ , then  $i \in F_\alpha(b)$ . It leads to the statement.  $\square$

Lemma 11 implies that  $|F_\alpha^{\alpha[i], i-1}(b)|$  is monotonically increasing for  $i$ .

**Lemma 12.** Let  $\alpha \in A$  and  $c', c \in C_\alpha$  with  $c' \in C_\alpha^{[1, c]}(c)$ . For any  $1 \leq b < c'$ ,  $|F_\alpha^{[m, c-1]}(b)| \geq |F_\alpha^{\alpha[c-1], c-2}(b)| + \sum_{k=1}^{k'-1} |F_\alpha^{\alpha^{k+1}[c-1], \alpha^k[c-1]-1}(b)| + 1$ , where  $m = \alpha[c'] = \alpha[c]$  and  $k'$  is the integer such that  $\alpha^{k'}[c-1] = c-1$ .

*Proof.* In a similar way to the proof of Lemma 11, we have  $|F_\alpha^{[m, c-2]}(b)| = |F_\alpha^{\alpha[c-1], c-2}(b)| + \sum_{k=1}^{k'-1} |F_\alpha^{\alpha^{k+1}[c-1], \alpha^k[c-1]-1}(b)| + |F_\alpha^{[m, c'-2]}(b)|$ . Since  $c-1 \notin F_\alpha(b) \Rightarrow \alpha^{k'}[c-1] = c-1 \notin F_\alpha(b)$ ,  $|F_\alpha^{[m, c-1]}(b)| \geq |F_\alpha^{\alpha[c-1], c-2}(b)| + \sum_{k=1}^{k'-1} |F_\alpha^{\alpha^{k+1}[c-1], \alpha^k[c-1]-1}(b)| + |F_\alpha^{[m, c'-1]}(b)|$ . Also,  $|F_\alpha^{[m, c'-1]}(b)| \geq 1$  follows from Lemma 11. Hence, the lemma holds.  $\square$

**Lemma 13.** For any  $\alpha \in A$ ,  $1 \leq b < b' \leq |\alpha|$  and  $1 \leq i < |\alpha|$ ,  $|F_\alpha^{\alpha[i+1], i}(b)| \geq |F_\alpha^{\alpha[i+1], i}(b')|$ .

*Proof.* We will prove the lemma by induction on  $i$ . First, for any  $1 \leq i < b$ , it is clear that  $|F_\alpha^{\alpha[i+1], i}(b)| = |F_\alpha^{\alpha[i+1], i}(b')| = 0$ . Second, for any  $b \leq i < b'$ , it follows from Lemma 11 that  $|F_\alpha^{\alpha[i+1], i}(b)| \geq 1$ . Then,  $|F_\alpha^{\alpha[i+1], i}(b)| \geq 1 > 0 = |F_\alpha^{\alpha[i+1], i}(b')|$ . Finally, when  $b' \leq i < |\alpha|$ , let  $k'$  be the integer such that  $\alpha^{k'}[i] = \alpha[i+1]-1$ . (I) When  $i \notin F_\alpha(b')$  or  $\alpha^{k'}[i] = \alpha[i+1]-1 \in F_\alpha(b')$ . It follows from Lemma 11 that  $|F_\alpha^{\alpha[i+1], i}(b)| \geq |F_\alpha^{\alpha[i], i-1}(b)| + \sum_{k=1}^{k'-1} |F_\alpha^{\alpha^{k+1}[i], \alpha^k[i]-1}(b)|$  and  $|F_\alpha^{\alpha[i+1], i}(b')| = |F_\alpha^{\alpha[i], i-1}(b')| + \sum_{k=1}^{k'-1} |F_\alpha^{\alpha^{k+1}[i], \alpha^k[i]-1}(b')|$ . By the induction hypothesis, we have  $|F_\alpha^{\alpha[i], i-1}(b)| \geq |F_\alpha^{\alpha[i], i-1}(b')|$  and  $|F_\alpha^{\alpha^{k+1}[i], \alpha^k[i]-1}(b)| \geq |F_\alpha^{\alpha^{k+1}[i], \alpha^k[i]-1}(b')|$  for any  $1 \leq k \leq k'-1$ . Hence,  $|F_\alpha^{\alpha[i+1], i}(b)| \geq |F_\alpha^{\alpha[i+1], i}(b')|$ . (II) When  $i \in F_\alpha(b')$  and  $\alpha^{k'}[i] = \alpha[i+1]-1 \notin F_\alpha(b')$ . There always exists  $b' \in \{i, \alpha^1[i], \dots, \alpha^{k'-1}[i]\}$ , and therefore  $|F_\alpha^{\alpha[b'], b'-1}(b)| \geq 1 > 0 = |F_\alpha^{\alpha[b'], b'-1}(b')|$ . Then,  $|F_\alpha^{\alpha[i+1], i}(b)| \geq |F_\alpha^{\alpha[i], i-1}(b)| + \sum_{k=1}^{k'-1} |F_\alpha^{\alpha^{k+1}[i], \alpha^k[i]-1}(b)| \geq 1 + |F_\alpha^{\alpha[i], i-1}(b')| + \sum_{k=1}^{k'-1} |F_\alpha^{\alpha^{k+1}[i], \alpha^k[i]-1}(b')| = |F_\alpha^{\alpha[i+1], i}(b')|$ . Hence,  $|F_\alpha^{\alpha[i+1], i}(b)| \geq |F_\alpha^{\alpha[i+1], i}(b')|$ .  $\square$

In a similar way, we have the next lemma.

**Lemma 14.** Let  $\alpha \in A$  and  $c \in C_\alpha$  with  $CT_\alpha(c) = \{c'\}$ . For any  $1 \leq i < |\alpha|$ ,  $|F_\alpha^{\alpha[i+1], i}(c)| \geq \sum_{g \in G} |F_\alpha^{\alpha[i+1], i}(g)|$ , where  $G = (C_\alpha^{[c, |\alpha|]}(c) - c')$ .

Now, we are ready to prove Lemma 10. We will use Lemmas 13 and 14.

*Proof.* Let  $G = \{g \mid g \in C_\beta^{[i, r]}(i), z[g] = 0\}$ . Let  $v$  be the sequence s.t. for each  $1 \leq j \leq r$ ,  $v[j] = 0$  if  $j \in F_\beta(i)$ ,  $v[j] = \star$  if there is  $g \in G$  s.t.  $j \in F_\beta(g)$ , and  $v[j] = z[j]$  otherwise.

Now we show  $v \in Z_\beta$ . By the definition of  $v$  and  $u[1 : i-1]0 \in Z_\beta^i$ , it is clear that  $v[j]$  holds Conditions 1, 2, 3 and 4 of Lemma 8 for any  $1 \leq j \leq r$ . Furthermore,  $u[1 : i-1]\star \in Z_\beta^i$  means that  $\text{zeros}(v[\beta[i] : i-1]) \geq \text{max}_{c \in C_\beta^{[1, r]}(i)} \text{zeros}(v[\beta[c] : c-1]) - 1$ . Hence,  $v[c]$  satisfies Conditions 5 and 6 for any  $c \in C_\beta^{[1, r]}(i)$  since  $\text{zeros}(v[\beta[c] : c-1]) \geq \text{zeros}(v[\beta[i] : i-1])$  and  $\text{max}_{c \in C_\beta^{[1, r]}(i)} \text{zeros}(v[\beta[c] : c-1]) - 1 \geq \text{max}_{c \in C_\beta^{[1, r]}(i)} \text{zeros}(v[\beta[c] : c-1]) - 1$ . Then, as the proof of Lemma 9, we have only to show  $\text{zeros}(v[\beta[c] : c-1]) \geq \text{zeros}(z[\beta[c] : c-1])$  for any  $c \in C_\beta$ . This can be proven by showing  $|F_\beta^{[\beta[c], c-1]}(i)| \geq \sum_{g \in G} |F_\beta^{[\beta[c], c-1]}(g)|$ . Since it is clear in case where  $G = \emptyset$ , we consider the case where  $G \neq \emptyset$ . Let  $c' = CT_\beta(i)$ . Note that  $|CT_\beta(i)| = 1$  by the assumption. (I) When  $z[c'] = 0$ . Since  $z[1 : r]$  satisfies Condition 4 of Lemma 8,  $G = \{c'\}$ . It follows from Lemma 13 that  $|F_\beta^{[\beta[c], c-1]}(i)| \geq |F_\beta^{[\beta[c], c-1]}(c')|$  for any  $c \in C_\beta^{[1, r]}$ . (II) When  $z[c'] \neq 0$ . It follows from Lemma 14 that  $|F_\beta^{[\beta[c], c-1]}(i)| \geq \sum_{g \in G} |F_\beta^{[\beta[c], c-1]}(g)|$  for any  $c \in C_\beta^{[1, r]}$ . Therefore, the lemma holds.  $\square$

### 4.3 Complexity Analysis

Algorithm 1 shows our algorithm that solves Problem 1.

**Theorem 1.** Algorithm 1 solves Problem 1 in  $O(n^{1.5})$  time and  $O(n)$  space for an unbounded alphabet.

**Algorithm 1:** Algorithm to verify p-border array

---

```

Input: an integer array  $y[1:n]$ 
Output: whether  $y$  is a valid p-border array or not
/* zeros[1:n] : zeros[i] = zeros(z[1:i]). zeros[0] = 0 for convenience. */
/* sign[1:n] : sign[i] = 1 if  $i \in E_y$ , sign[i] = -1 if  $(C_y^{[i,n]}(i) \cap E_y) \neq \emptyset$ . */
/* prevc[1:n] : prevc[i] =  $\max C_y^{[1,i]}(i)$ , prevc[i] = 0 otherwise. */
1 if  $y[1:2] \neq [0, 1]$  then return invalid;
2  $sign[1:n] \leftarrow [1, 0, \dots, 0]$ ;  $prevc[1:n] \leftarrow [0, \dots, 0]$ ;  $order[1:n] \leftarrow [0, \dots, 0]$ ;  $maxc[1:n] \leftarrow [0, \dots, 0]$ ;
3 for  $i = 3$  to  $n$  do
4   if  $y[i] = y[i-1] + 1$  then continue;
5    $b' \leftarrow y[i-1]$ ;  $b \leftarrow y[b']$ ;
6   while  $b > 0 \ \& \ y[i] \neq y[b'+1] \ \& \ y[i] \neq b+1$  do
7      $b' \leftarrow b$ ;  $b \leftarrow y[b']$ ;
8   if  $y[i] = y[b'+1]$  then /*  $i$  conflicts with  $b'+1$  */
9      $j \leftarrow y[i]$ ;
10    while  $sign[j] = 0 \ \& \ order[j] = 0$  do /*  $z[y^1[i]], z[y^2[i]], \dots, z[0]$  must be 0 */
11       $sign[j] \leftarrow 1$ ;  $j \leftarrow y[j]$ ;
12    if  $sign[j] = -1$  then return invalid;
13    if  $sign[j] \neq 1$  then
14       $sign[j] \leftarrow 1$ ;  $j \leftarrow prevc[j]$ ;
15      while  $j > 0$  do /*  $\forall j \in C_y^{[1,i]}(i), z[j]$  must be  $\star$  */
16        if  $sign[j] = 1$  then return invalid;
17         $sign[j] \leftarrow -1$ ;  $j \leftarrow prevc[j]$ ;
18    if  $order[b'+1] = 0$  then  $order[b'+1] \leftarrow 1$ ;
19     $prevc[i] \leftarrow b'+1$ ;  $order[i] \leftarrow order[b'+1] + 1$ ;  $maxc[i] \leftarrow order[b'+1] + 1$ ;  $j \leftarrow b'+1$ ;
20    while  $j > 0 \ \& \ maxc[j] < order[b'+1] + 1$  do
21       $maxc[j] \leftarrow order[b'+1] + 1$ ;  $j \leftarrow prevc[j]$ ;
22  else if  $y[i] \neq b+1$  then return invalid;
23  $cnt[1:n] \leftarrow [-1, \dots, -1]$ ;  $zeros[1] \leftarrow 1$ ;
24 return CheckPBA(2,  $n, y[1:n], zeros[1:n], sign[1:n], cnt[1:n], prevc[1:n], order[1:n], maxc[1:n]$ );

```

---

*Proof.* The correctness should be clear from the discussions in the previous subsections.

Let us estimate the time complexity of Algorithm 1 until the CheckPBA function is called at Line 24. As in the failure function construction algorithm, the while loop of Line 6 is executed at most  $n$  times. Moreover, for any  $1 \leq i \leq n$ , the values of  $z[i]$ ,  $prevc[i]$ , and  $order[i]$  are updated at most once. When  $i$  is a conflict point, Line 20 is executed at most  $order_y(i) - 1$  times. Hence, it follows from Lemma 5 that the total number of times Line 20 is executed is  $\sum_{c \in C_y} (order_y(c) - 1) \leq 1 + \sum_{c \in C_y} [2^{order_y(c)-2}] \leq n$ .

Next, we show the CheckPBA function takes in  $O(n^{1.5})$  time for any input  $\alpha \in A$ . Let  $2 \leq r_1 < r_2 < \dots < r_x \leq n$  be the positions for which we execute Line 6 or 10 when we first visit these positions. If such positions do not exist, CheckPBA returns “valid” in  $O(n)$  time. Let us consider  $x \geq 1$ . For any  $1 \leq t \leq x$ , let  $z_t[1 : r_t - 1]$  denote the  $z$ -pattern when we first visit  $r_t$  and let  $l_t = \min\{c \mid c \in AC_\alpha^{[1, r_t-1]}, z_t[c] = 0\}$ . If  $x = 1$  and such  $l_1$  does not exist, then CheckPBA returns “invalid” in  $O(n)$  time. If  $x > 1$ , then there exists  $l_1$  as we reach  $r_x$ . Furthermore, there exists  $l_t$  s.t.  $l_t < r_1$  since otherwise we cannot get across  $r_1$ . Henceforth, we may assume  $l_1 \leq l_2 \leq \dots \leq l_x$  exist. Note that by the definition of active conflict points, all elements of  $F_\alpha(l_t) - \{l_t\}$  are not conflict points, and therefore for any  $b \in F_\alpha(l_t)$ ,  $z_t[b] = 0$ .

Here, let  $L_1 = \{c \mid c \in C_\alpha^{[l_1+1, r_1]}, l_1 < \max C_\alpha^{[1, c]}(c)\}$  and  $L_t = \{c \mid c \in C_\alpha^{[r_{t-1}+1, r_t]}, l_t < \max C_\alpha^{[1, c]}(c)\}$  for any  $1 < t \leq x$ . Since  $L_1, L_2, \dots, L_x$  are pairwise disjoint,  $|L| = \sum_{t=1}^x |L_t|$ , where  $L = \bigcup_{t=1}^x L_t$ . It follows from Lemma 12 that  $|F_\alpha^{[\alpha[r_t], r_t-1]}(l_t)| - |F_\alpha^{[\alpha[r_{t-1}], r_{t-1}-1]}(l_t)| \geq |L_t|$ . In addition, for any  $1 \leq t \leq x$ , let  $E_t^{in} = E_\alpha \cap ([\alpha[r_t], r_t - 1] - [\alpha[r_{t-1}], r_{t-1} - 1])$  and  $E_t^{out} = E_\alpha \cap ([\alpha[r_{t-1}], r_{t-1} - 1] - [\alpha[r_t], r_t - 1])$ , where  $[\alpha[r_0], r_0 - 1] = \emptyset$ . Since for any  $1 < t \leq x$ ,  $zeros(z_t[\alpha[r_{t-1}] : r_{t-1} - 1]) \geq zeros(z_{t-1}[\alpha[r_{t-1}] : r_{t-1} - 1]) + 1$ ,  $zeros(z_t[\alpha[r_t] : r_t - 1]) \geq zeros(z_t[\alpha[r_{t-1}] : r_{t-1} - 1]) + |E_t^{in}| - |E_t^{out}| + |F_\alpha^{[\alpha[r_t], r_t-1]}(l_t)| - |F_\alpha^{[\alpha[r_{t-1}], r_{t-1}-1]}(l_t)| \geq zeros(z_{t-1}[\alpha[r_{t-1}] : r_{t-1} - 1]) + 1 + |E_t^{in}| - |E_t^{out}| + |L_t|$ . By recursive procedures, we have  $order_\alpha(r_x) \geq 1 + zeros(z_x[\alpha[r_x] : r_x - 1]) \geq zeros(z_1[\alpha[r_1] : r_1 - 1]) + x + \sum_{t=2}^x |E_t^{in}| - \sum_{t=2}^x |E_t^{out}| + \sum_{t=2}^x |L_t|$ . Since  $zeros(z_1[\alpha[r_1] : r_1 - 1]) \geq 1 + |E_1^{in}| + |L_1|$  and  $\sum_{t=1}^x |E_t^{in}| - \sum_{t=2}^x |E_t^{out}| \geq 1$ , then  $order_\alpha(r_x) \geq 2 + x + |L|$ .

---

**Function CheckPBA**( $i, n, y[1:n], \text{zeros}[1:n], \text{sign}[1:n], \text{cnt}[1:n], \text{prevc}[1:n], \text{order}[1:n], \text{maxc}[1:n]$ )
 

---

**Result:** whether  $y$  is a valid p-border array or not

```

1 if  $i = n$  then return valid;
2 if  $\text{order}[i] = 0$  then                                     /*  $i$  is not a conflict point */
3    $\text{zeros}[i] \leftarrow \text{zeros}[i-1] + \text{zeros}[y[i]] - \text{zeros}[y[i]-1]$ ;
4   return CheckPBA( $i+1, n, y[1:n], \dots, \text{maxc}[1:n]$ );
5 if  $\text{sign}[i] = 1$  then                                       /*  $z[i]$  must be 0 */
6   if  $\text{zeros}[i-1] - \text{zeros}[y[i]-1] < \text{maxc}[i] - 1$  then return invalid;
7    $\text{zeros}[i] \leftarrow \text{zeros}[i-1] + 1$ ;
8   return CheckPBA( $i+1, n, y[1:n], \dots, \text{maxc}[1:n]$ );
9 if  $\text{sign}[i] = -1 \parallel \text{zeros}[i-1] - \text{zeros}[y[i]-1] < \text{maxc}[i] - 1$  then /*  $z[i]$  must be * */
10  if  $\text{zeros}[i-1] - \text{zeros}[y[i]-1] < \text{order}[i]$  then return invalid;
11   $\text{zeros}[i] \leftarrow \text{zeros}[i-1]$ ;
12  return CheckPBA( $i+1, n, y[1:n], \dots, \text{maxc}[1:n]$ );
    /* from here  $\text{sign}[i] = 0$  and  $\text{zeros}[i-1] - \text{zeros}[y[i]-1] \geq \text{maxc}[i] - 1$  */
13 if  $\text{cnt}[i] = -1$  then                                       /* first time arriving at  $i$  */
14   $\text{cnt}[i] ++$ ;  $\text{cnt}[\text{prevc}[i]] ++$ 
15 if  $\text{prevc}[i] > 0 \ \& \ \text{sign}[\text{prevc}[i]] = 1$  then           /*  $\exists c \in C_y^{[1,i]}(i), z[c] = 0$  */
16   $\text{sign}[i] \leftarrow 1$ ;  $\text{zeros}[i] \leftarrow \text{zeros}[i-1]$ ;
17   $\text{ret} \leftarrow \text{CheckPBA}(i+1, n, y[1:n], \dots, \text{maxc}[1:n])$ ;  $\text{sign}[i] \leftarrow 0$ ;
18  return  $\text{ret}$ ;
19  $\text{sign}[i] \leftarrow 1$ ;  $\text{zeros}[i] \leftarrow \text{zeros}[i-1] + 1$ ;
20  $\text{ret} \leftarrow \text{CheckPBA}(i+1, n, y[1:n], \dots, \text{maxc}[1:n])$ ;  $\text{sign}[i] \leftarrow 0$ ;
21 if  $\text{ret} = \text{valid} \parallel \text{cnt}[i] < 2$  then return  $\text{ret}$ ;
22  $\text{zeros}[i] \leftarrow \text{zeros}[i-1]$ ;
23 return CheckPBA( $i+1, n, y[1:n], \dots, \text{maxc}[1:n]$ );
    
```

---

Now, we evaluate the number of z-patterns we search for during the calls of CheckPBA. Let  $C_2(t) = \{c \mid c \in C_\alpha^{[l_t, r_t]}, |CT_\alpha^{[l_t, r_t]}(c)| \geq 2\}$  for any  $1 \leq t \leq x$  and  $T' = \{1\} \cup \{t \mid 1 < t \leq x, l_{t-1} < l_t, |CT_\alpha^{[l_t, r_{t-1}]}(l_t)| = 0\}$ . Let us assume  $T' = \{t'_1, t'_2, \dots, t'_{x'}\}$  with  $1 = t'_1 < t'_2 < \dots < t'_{x'} \leq x$ . By Lemmas 9 and 10, the number of z-patterns searched for between  $l'_{t'_j}$  and  $r'_{t'_{j+1}-1}$  is at most  $2^{|C'_2(t'_j)|}$  for any  $1 \leq j \leq x'$ , where  $t'_{x'+1} - 1 = x$  and  $C'_2(t'_j) = \bigcup_{t=t'_j}^{t'_{j+1}-1} C_2(t)$ . Then, the total number of z-patterns is at most  $\sum_{j=1}^{x'} 2^{|C'_2(t'_j)|}$ . By Lemma 10, for any  $1 \leq j < x'$ ,  $l'_{t'_j}$  must be in  $C'_2(t'_j)$  and by the definition of  $T'$ ,  $l'_{t'_j}$  is only in  $C'_2(t'_j)$ . Hence, if  $C_2 = \bigcup_{t=1}^x C_2(t)$ , then  $|C'_2(t'_j)| \leq |C_2| - (x' - 2)$ , and therefore  $\sum_{j=1}^{x'} 2^{|C'_2(t'_j)|} \leq 4x'2^{|C_2|-x'}$ .

Finally, we consider the relation between  $L$  and  $C_2$  (See Fig. 3). By the definition of  $L$  and  $C_2$ , for any  $c \in (C_2 - \{l_1, l_2, \dots, l_x\})$ ,  $|CT_\alpha(c) \cap L| \geq 2$ . In addition, by the definition of  $T'$ , for any  $c \in (C_2 \cap \{l_1, l_2, \dots, l_x\} - \{l'_{t'_1}, l'_{t'_2}, \dots, l'_{t'_{x'}}\})$ ,  $|CT_\alpha(c) \cap L| \geq 1$ . Here, let  $x'' = |\{l_1, l_2, \dots, l_x\} - \{l'_{t'_1}, l'_{t'_2}, \dots, l'_{t'_{x'}}\}|$ . Clearly,  $x' + x'' \leq x$ . For these reasons,  $\text{order}_\alpha(r_x) \geq 2 + x + |L| \geq 2 + x + 2|C_2| - 2(x' + x'') + x'' \geq 2 + 2|C_2| - x'$ . It follows from Lemma 5 that  $n \geq 1 + \sum_{c \in C_\alpha} \lfloor 2^{\text{order}_\alpha(c)-2} \rfloor > 1 + \sum_{i=2}^{2+2|C_2|-x'} 2^{i-2} = 2^{2|C_2|-x'+1}$  and  $\sqrt{n} > 2^{\frac{1+x'}{2}} 2^{|C_2|-x'} > x' 2^{|C_2|-x'}$ . Hence, the total time complexity is proportional to  $n \sum_{j=1}^{x'} 2^{|C'_2(t'_j)|} \leq 4nx' 2^{|C_2|-x'} < 4n\sqrt{n}$ .

The space complexity is  $O(n)$  as we use only a constant number of arrays of length  $n$ . □

## 5 Conclusions and Open Problems

We presented an  $O(n^{1.5})$ -time  $O(n)$ -space algorithm to verify if a given integer array  $y$  of length  $n$  is a valid p-border array for an unbounded alphabet. In case  $y$  is a valid p-border array, the proposed algorithm also computes a z-pattern  $z \in \{0, \star\}^*$  s.t.  $z \in Z_y$ , and we remark that some sequence  $p \in P_y$  s.t.  $\text{ptoz}(p) = z$  is then computable in linear time from  $z$ .

Open problems of interest are: (1) Can we solve the p-border array reverse problem for an unbounded alphabet in  $o(n^{1.5})$  time? (2) Can we efficiently solve the p-border array reverse problem for a bounded alphabet? (3) Can we efficiently count p-border arrays of length  $n$ ?

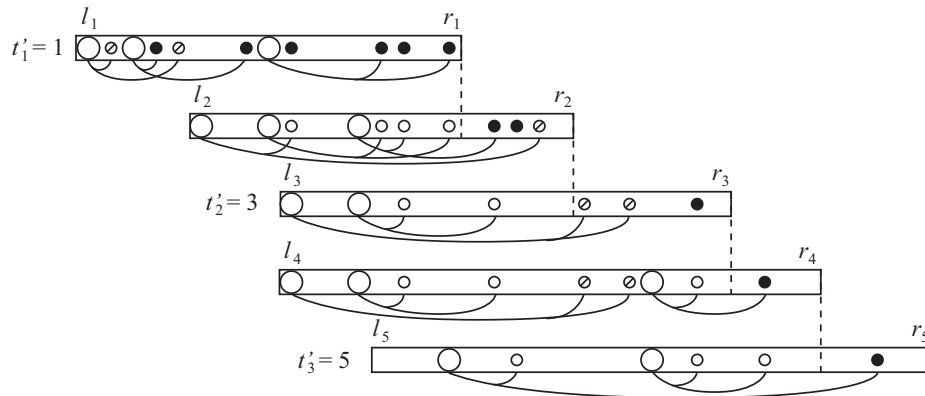


Fig. 3: Relation between  $L$  and  $C_2$ . A pair of a big circle and a small circle connected by an arc represents a parent-child relation in the conflict tree.  $\bigcirc$  is a position in  $C_2$ .  $\bullet$  or  $\circ$  is a position in  $L$ .  $\emptyset$  is a position not in  $L$ .

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