

# Secure Codes with List Decoding

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2022.05.17 @ Gifu

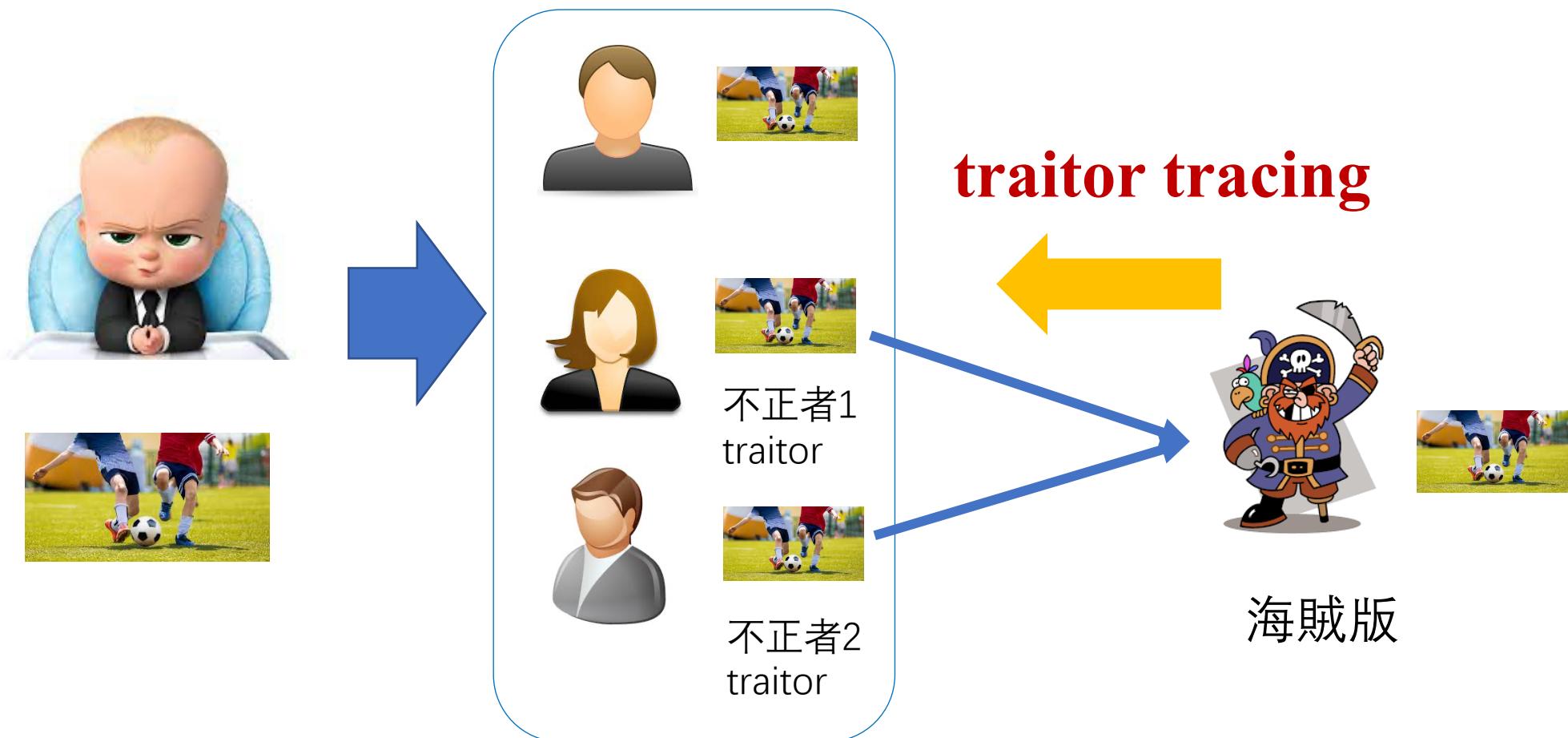
# Secure Codes for ?



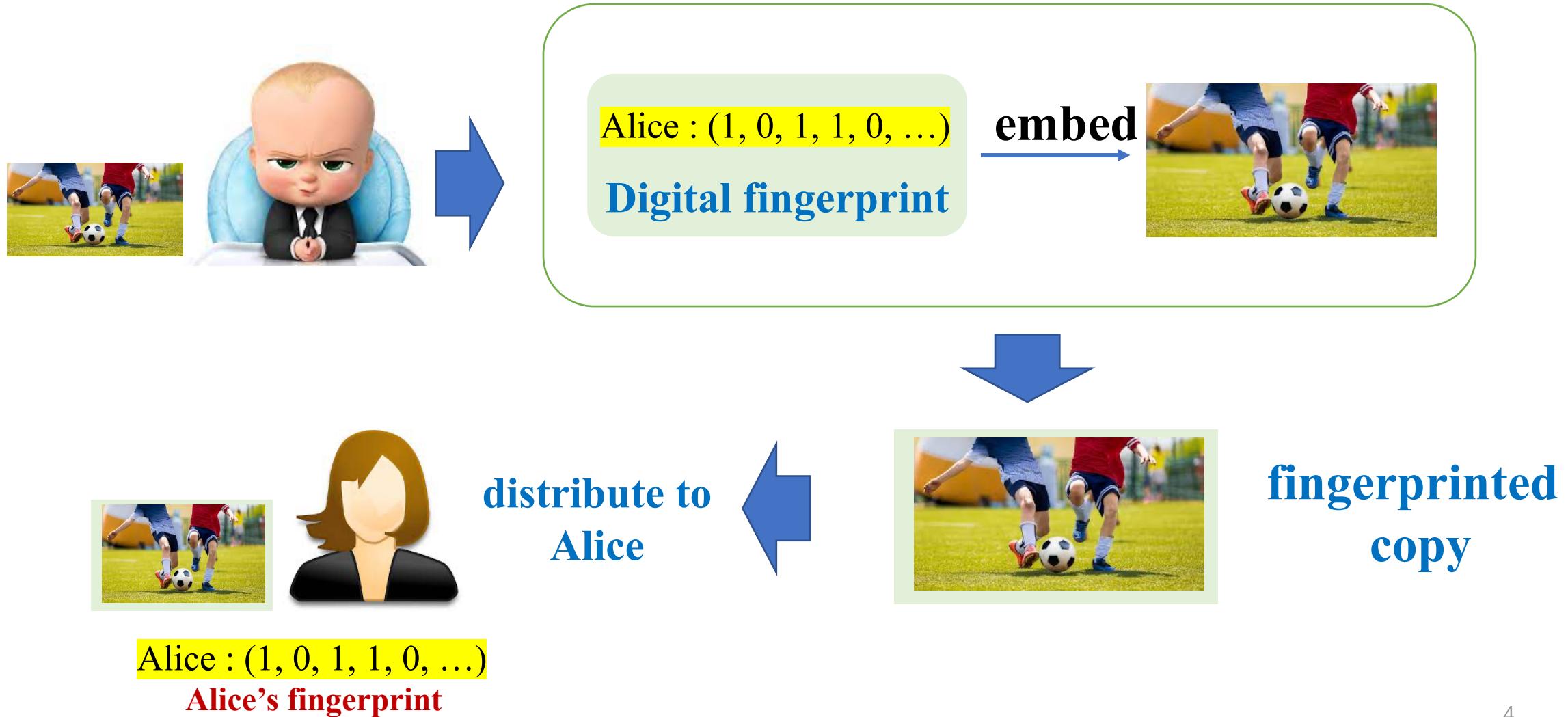
**(multimedia content:** text, audio, images, animations, video...)

# Traitor Tracing

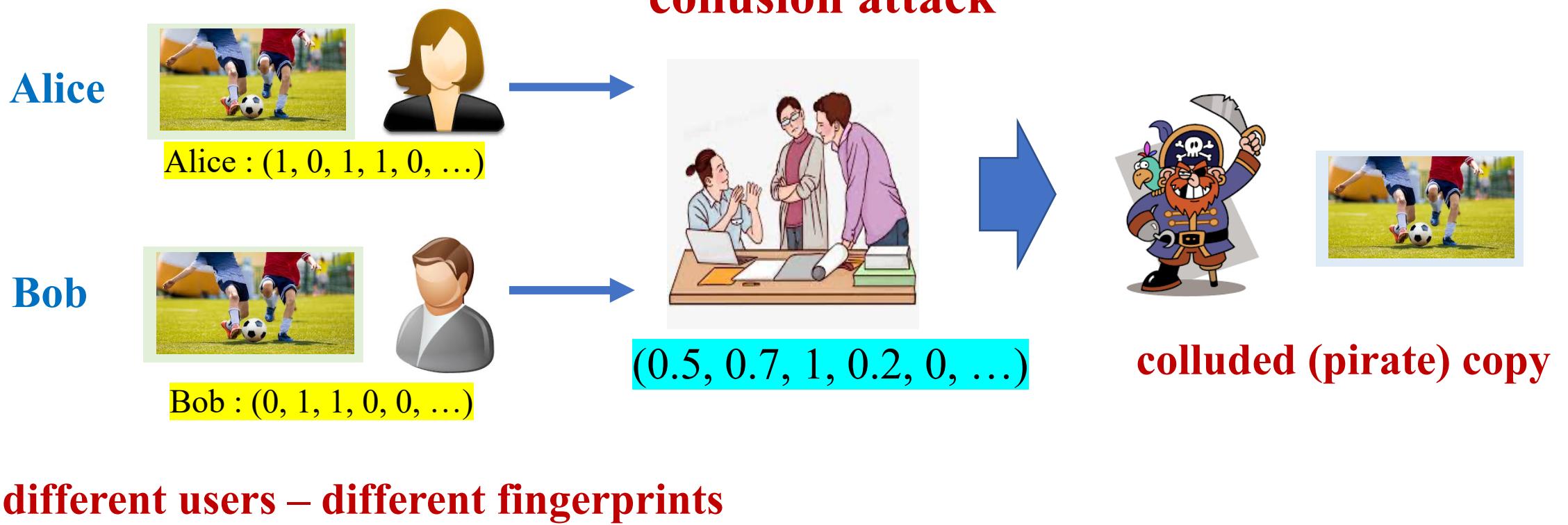
- Chor-Fiat-Naor, CRYPTO-1994



# Embed fingerprints



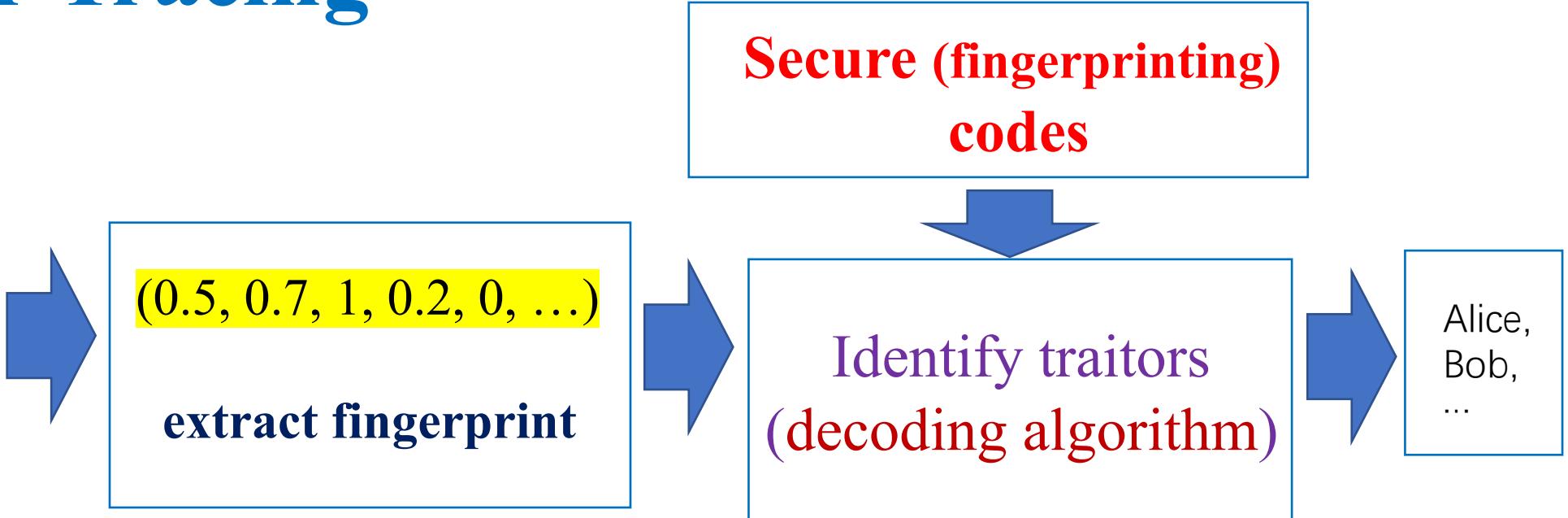
# Collusion attack



# Traitor Tracing



pirate copy



研究課題 : Design **Secure (fingerprinting) Codes**:

- large code rate + efficient identifying algorithm



## Code Modulation

- host signal: a real vector  $\mathbf{x} \in \mathbb{R}^n$
- $n$  orthogonal basis signals  $\{\mathbf{u}_i \in \mathbb{R}^v : 1 \leq i \leq n\}$
- a *fingerprint* :

$$\mathbf{w}_j = \sum_{i=1}^n \mathbf{c}_j(i) \mathbf{u}_i$$

where  $\mathbf{c}_j(i) \in \{0, 1\}$ .

- user  $j$ : a fingerprinted signal copy of  $\mathbf{x}$ :

$$\mathbf{y}_j = \mathbf{x} + \mathbf{w}_j$$

- user  $j \iff$  fingerprint  $\mathbf{w}_j \iff$  the vector

$$\mathbf{c}_j = (\mathbf{c}_j(1), \dots, \mathbf{c}_j(n)) \in \{0, 1\}^n$$

- all  $M$  authorized users  $\iff$  fingerprinting code

$$\{\mathbf{c}_j \in \{0, 1\}^n : 1 \leq j \leq M\}$$

## Linear Collusion Attack

- a coalition:  $J \subseteq \{1, \dots, M\}$  malicious users
- a forged copy

$$\hat{\mathbf{y}} = \sum_{j \in J} \lambda_j \mathbf{y}_j = \sum_{j \in J} \lambda_j (\mathbf{x} + \mathbf{w}_j) = \mathbf{x} + \sum_{j \in J} \lambda_j \mathbf{w}_j$$

where  $\lambda_j \in \mathbb{R}$  such that  $0 < \lambda_j < 1$  and

$$\sum_{j \in J} \lambda_j = 1$$

# Analysis

$$\begin{aligned}\hat{\mathbf{y}} &= \mathbf{x} + \sum_{j \in J} \lambda_j \mathbf{w}_j \\&= \mathbf{x} + \sum_{j \in J} \lambda_j \sum_{i=1}^n \mathbf{c}_j(i) \mathbf{u}_i \\&= \mathbf{x} + \sum_{i=1}^n \left( \sum_{j \in J} \lambda_j \mathbf{c}_j(i) \right) \mathbf{u}_i\end{aligned}$$

- $\langle \hat{\mathbf{y}} - \mathbf{x}, \mathbf{u}_i \rangle = \sum_{j \in J} \lambda_j \mathbf{c}_j(i)$
- If  $\sum_{j \in J} \lambda_j \mathbf{c}_j(i) = 0$ , then  
 $\{\mathbf{c}_j(i) : j \in J\} = \{0\}.$
- If  $\sum_{j \in J} \lambda_j \mathbf{c}_j(i) = 1$ , then  
 $\{\mathbf{c}_j(i) : j \in J\} = \{1\}.$
- If  $0 < \sum_{j \in J} \lambda_j \mathbf{c}_j(i) < 1$ , then  
 $\{\mathbf{c}_j(i) : j \in J\} = \{0, 1\}.$

# Codes

- $Q = \{0, 1, \dots, q - 1\}$ .
- **( $n, M, q$ ) code:**  $C \subseteq Q^n, |C| = M$
- $P(Q)$  is the power set of  $Q$ .

For code  $\mathcal{C} \subseteq Q^n$  we define its  $i$ th coordinates set as

$$\mathcal{C}(i) = \{\mathbf{c}(i) \in Q : \mathbf{c} \in \mathcal{C}\}, \quad 1 \leq i \leq n.$$

The descendant code of  $\mathcal{C}$  is defined as

$$\text{desc}(\mathcal{C}) = (\mathcal{C}(1), \dots, \mathcal{C}(n)) \in \mathcal{P}(Q)^n$$

- 例 : if  $\mathcal{C} = \{000, 011, 012\}$ , then  $\text{desc}(\mathcal{C}) = (\{0\}, \{0, 1\}, \{0, 1, 2\})$

- $a \in Q^n, b \in P(Q)^n$

we define a partial order  $\mathbf{a} \preceq \mathbf{b}$  by the inclusion, that is,  $\mathbf{a} \preceq \mathbf{b}$  if and only if  $\mathbf{a}(i) \in \mathbf{b}(i)$  for all  $i \in [n]$ .

例:  $(0,0,0) \preceq (\{0\}, \{0,1\}, \{0,1,2\})$

# Secure codes

- $(n, M, q)$  code:  $C = \{c_1, c_2, \dots, c_M\} \subseteq Q^n$
- $\exists$  an identifying/decoding algorithm  $\mathcal{A}$  such that
- $\forall$  coalition  $C_0 \subseteq C$  such that  $|C_0| \leq t$   
and the pirate  $d = \text{desc}(C_0)$
- we have

$$\mathcal{A}(d) = C_0 \quad (\text{complete traceability})$$

$$\emptyset \neq \mathcal{A}(d) \subseteq C_0 \quad (\text{partial traceability})$$

To guarantee  $\mathcal{A}$ , we need some requirements on  $C$

# Frameproof codes

- Boneh and Shaw, 1998, IEEE-TIT

- *t-Frameproof Code*,  $t\text{-FPC}(n, M, q)$
- $C = \{c_1, c_2, \dots, c_M\} \subseteq Q^n$
- $\forall C_0 \subseteq C$  s.t.  $|C_0| \leq t$
- $\forall a \in C \setminus C_0$
- we have

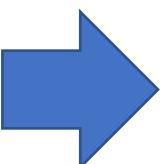
$$a \not\in \text{desc}(C_0)$$

# 例 : decoding of frameproof codes

# of traitors = 2

$$\begin{pmatrix} 0 & 0 & 1 & 1 & 2 \\ 0 & 1 & 2 & 1 & 1 \\ 0 & 2 & 0 & 1 & 2 \end{pmatrix}$$

$$\begin{pmatrix} \{0, 1\} \\ \{1, 2\} \\ \{0, 2\} \end{pmatrix}$$



$$\begin{pmatrix} 0 & 0 & 1 & 1 & 2 \\ 0 & 0 & 1 & 2 & 1 \\ 0 & 2 & 0 & 1 & 2 \end{pmatrix}$$

traitors

Remove all columns  
c such that  
 $c \notin \begin{pmatrix} \{0, 1\} \\ \{1, 2\} \\ \{0, 2\} \end{pmatrix}$

- The decoding complexity is  $O(nM)$ .

# Separable codes

- Cheng and Miao, 2011, IEEE-TIT

- ***t-Separable Code***,  $t\text{-}SC(n, M, q)$
- $\mathcal{C} = \{c_1, c_2, \dots, c_M\} \subseteq Q^n$
- $\forall C_1 \subseteq \mathcal{C}, C_2 \subseteq \mathcal{C}$  s.t.  $|C_1| \leq t, |C_2| \leq t, C_1 \neq C_2$
- we have

$$\text{desc}(C_1) \neq \text{desc}(C_2)$$

- The decoding complexity is  $O(nM^t)$ . (check all  $t$ -subsets)

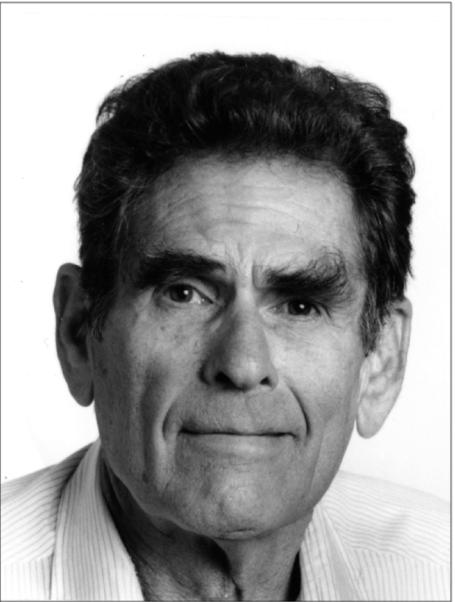
# Look Closer

	frameproof code	separable code	
decoding cost	$O(nM)$	$O(nM^{\textcolor{red}{t}})$	
code rate (number of users)	$R_{FP}$	$<$	$R_{SC}$

**Motivation:** low cost + high code rate

# List Decoding

(error-correcting codes)

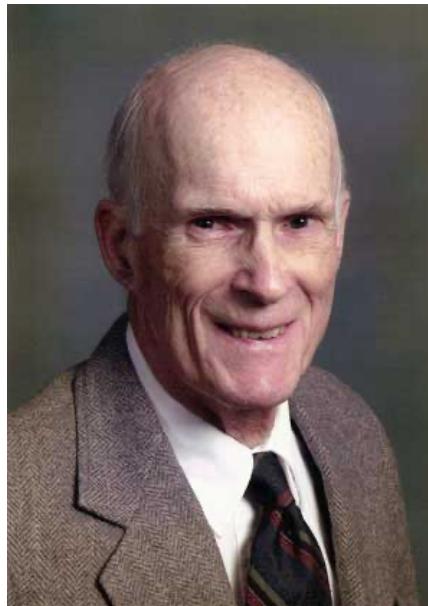


Photograph courtesy MIT Museum.

*Peter Elias*

Peter Elias

(1950s)



John Wozencraft

# Secure Codes with List Decoding

- G.-Vorobyev-Miao, 2022

- ***t-Secure Code with List Decoding***,  $t\text{-SCLD}(n, M, q; L)$

- $C = \{c_1, c_2, \dots, c_M\} \subseteq Q^n$

- $\forall C_1 \subseteq C, C_2 \subseteq C$  s.t.  $|C_1| \leq t, |C_2| \leq t, C_1 \neq C_2$

- $d = \text{desc}(C_1)$

- we have

$$(1) \quad |\text{Res}(d)| = |\{c \in C: c \leq d\}| \leq L$$

$$(2) \quad \text{desc}(C_1) \neq \text{desc}(C_2)$$

# A unified concept

- Many existing secure codes can be seen as **special cases** of SCLD (by taking specific  $L$ ).
- For example:
  - A  $t$ -FPC( $n, M, q$ ) is a  $t$ -SCLD ( $n, M, q; L = t$ ).
  - A  $t$ -SC( $n, M, q$ ) is a  $t$ -SCLD ( $n, M, q; L = M$ ).

# Decoding of SCLD

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**Algorithm 1** Identifying algorithm for  $\bar{t}$ -SCLD

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**Input:** a  $\bar{t}$ -SCLD  $\mathcal{C}$ ; a vector  $\mathbf{d} \in \text{Desc}_t(\mathcal{C})$

**Output:** the set of all traitors  $\mathcal{T}$

```
1:  $\mathcal{T} = \emptyset, \mathcal{W} = \emptyset.$                                  $\triangleright$  Initialize the candidate sets
2: for each  $\mathbf{c} \in \mathcal{C}$  do                                          $\triangleright$  Step 1
3:   if  $\mathbf{c} \preceq \mathbf{d}$  then
4:      $\mathcal{W} = \mathcal{W} \cup \{\mathbf{c}\};$ 
5:   end if
6: end for
7: for each subset  $\mathcal{S} \subseteq \mathcal{W}$  of size at most  $t$  do       $\triangleright$  Step 2
8:   if  $\text{desc}(\mathcal{S}) == \mathbf{d}$  then
9:      $\mathcal{T} = \mathcal{S};$ 
10:    output  $\mathcal{T};$ 
11:   end if
12: end for
```

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The decoding complexity is  
 $O(\max\{nM, nL^t\}).$

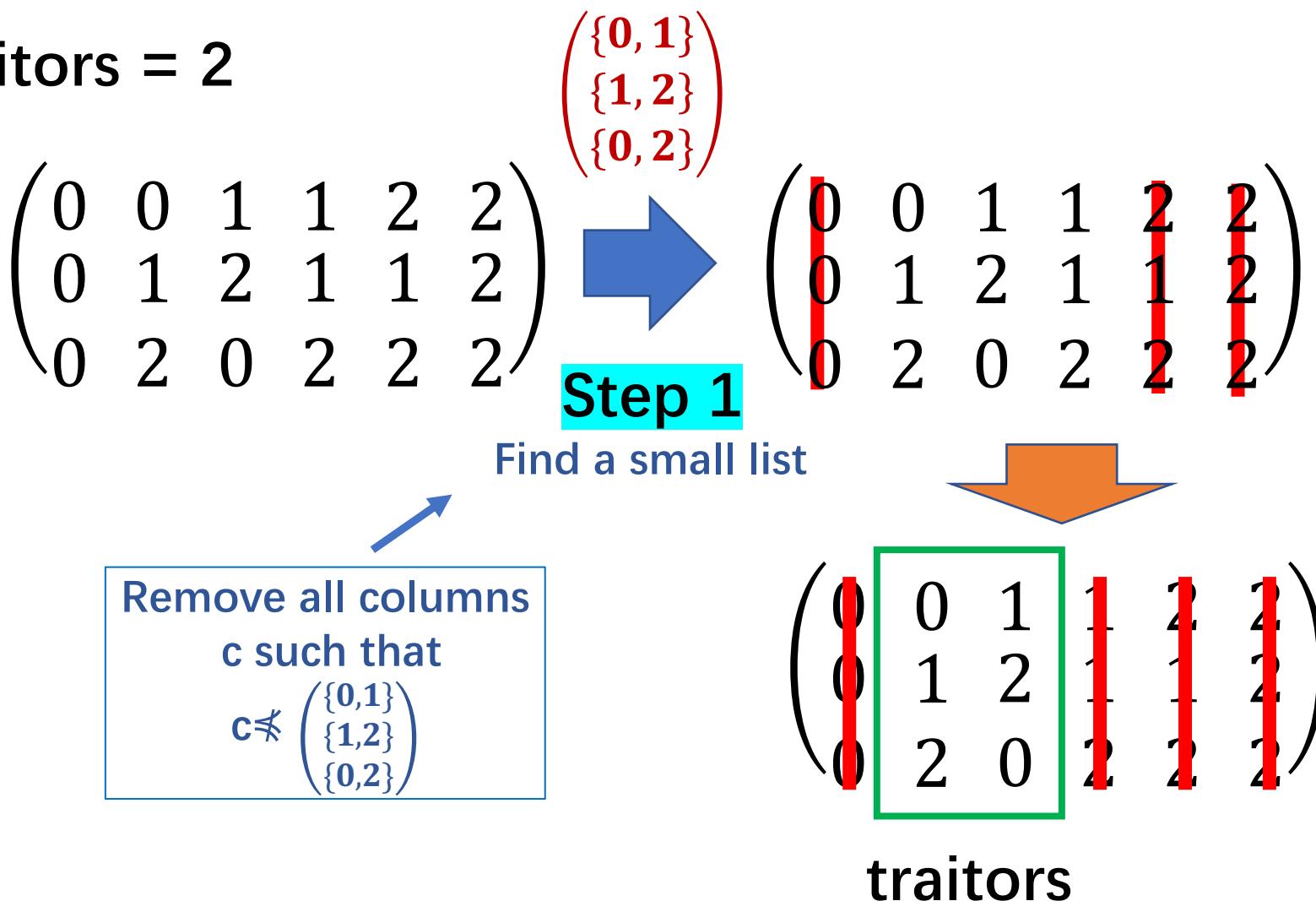
e.g. If  $L = M^{1/t}$ , then  $O(nM)$ .

Use the Property (1) of SCLD:  
• find a list  $W$ ,  $|W| \leq L$   
• cost  $O(nM)$

Use the Property (2) of SCLD:  
• find all exact traitors  
• cost  $O(nL^t)$

# 例 : decoding of SCLD

# of traitors = 2



# Code Rate of SCLD

- State-of-the-art lower bounds (new results in bold)

	$t$	2	3	4	5	6
$O(nM^{\textcolor{red}{t}})$	$R_{\text{SC}}(\bar{t}) \geq$	0.5	<b>0.13834</b>	<b>0.06198</b>	<b>0.03138</b>	<b>0.02003</b>
$O(nM)$	$R_{\text{SCLD}}^{(1/t)}(\bar{t}) \geq$	<b>0.44452</b>	<b>0.13205</b>	<b>0.05770</b>	<b>0.03105</b>	<b>0.01997</b>
$O(nM)$	$R_{\text{FPC}}(t) \geq$	0.20756	0.07999	0.04392	0.02794	0.01936

Proof : random coding

Part of the results have been accepted to IEEE ISIT-2022.  
The full paper is coming soon.

# Dynamic Traitor Tracing

- **Fiat-Tassa, CRYPTO-1999**

dynamic decoding  $\leftarrow$  dynamic encoding

**Merit:** accommodate more users

# Dynamic Traitor Tracing

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**Algorithm 2** Two-stage dynamic traitor tracing algorithm

**Input:**  $t$ -HLD code  $\mathcal{C} = \{\mathbf{c}_1, \mathbf{c}_2, \dots, \mathbf{c}_M\}$  with list size  $L^{(1)} = \Theta(M^\alpha)$ ; the pirate  $\mathbf{d}^{(1)} \in \mathcal{P}(Q)^n$  ▷ Stage 1

```
1:  $\mathcal{T} = \emptyset, \mathcal{W} = \emptyset$ .▷ Initialize the candidate sets
2: for each  $j \in [M]$  do
3:   if  $\mathbf{c}_j \preceq \mathbf{d}^{(1)}$  then
4:      $\mathcal{W} = \mathcal{W} \cup \{j\}$ ;Stage 1: Only use Property (1) of SCLD
5:   end if
6: end for
```

**Output:** the index set  $\mathcal{W}$

**Input:**  $t$ -SCLD code  $\mathcal{C} = \{\mathbf{c}_1, \mathbf{c}_2, \dots, \mathbf{c}_{|\mathcal{W}|}\}$  with list size  $L^{(2)} = \Theta(|\mathcal{W}|^\beta)$ ; the pirate  $\mathbf{d}^{(2)} \in \mathcal{P}(Q)^n$  ▷ Stage 2

1: execute the two steps as in Algorithm 1 for SCLD

**Output:** the set of all traitors  $\mathcal{T}$

Stage 2: Use decoding of SCLD

- **Code Rate :** two-stage dynamic traitor tracing > SCLD

# Look for more

- Explicit construction of SCLD
  - algebraic property → decoding in time  $O(\text{polylog}(nM))$  ?
- More application of list decoding (and beyond)
  - various applications + list decoding



Thank organizers for the invitation.

Thank you all for the listening.

